## Relational Algebra, Principles and Part I

Hugh Darwen<br>hugh@dcs.warwick.ac.uk www.dcs.warwick.ac.uk/~hugh

CS252.HACD: Fundamentals of Relational Databases Section 4: Relational Algebra, Principles and Part I

## ENROLMENT Example

ENROLMENT (a relation variable, or relvar)

| StudentId | Name | CourseId |
| :---: | :---: | :---: |
| S1 | Anne | C1 |
| S1 | Anne | C2 |
| S2 | Boris | C1 |
| S3 | Cindy | C3 |
| S4 | Devinder | C1 |

Predicate: StudentId is called Name and is enrolled on CourseId Note redundancy: S1 is always called Anne!

## Relations and Predicates (1)

## Relations and Predicates (2)

Moreover, each proposition in the extension has exactly one corresponding tuple in the relation.

This 1:1 correspondence reflects the Closed-World Assumption:
A tuple representing a true instantiation is in the relation.
A tuple representing a false one is out.
The Closed-World Assumption underpins the operators we are about to meet.
are instantiations of it:
$\{S 1$ is called Anne, S 2 is called Boris, S 3 is called Cindy, S 4 is called Devinder, S 5 is called Boris \}
Each tuple in the body (extension) of the relation provides the
values to substitute for the parameters in one such instantiation.

Consider the predicate: StudentId is called Name $\ldots$ is called $\ldots$ is the intension (meaning) of the predicate.
The parameter names are arbitrary. " $\underline{S}$ is called $\underline{N}$ " means the same thing (has the same intension).
The extension of the predicate is the set of true propositions that
$\qquad$

## Splitting ENROLMENT

| Splitting ENROLMENT |  |  |  |
| :---: | :---: | :---: | :---: |
| IS_CALLED |  | IS_ENROLLED_ON |  |
| StudentId | Name | StudentId | CourseId |
| S1 | Anne | S1 | C1 |
| S2 | Boris | S1 | C2 |
| S3 | Cindy | S2 | C1 |
| S4 | Devinder | S3 | C3 |
| S5 | Boris | S4 | C1 |
| Student Stud Name | entId is called | Student Stud course Cour | ntId is enro Id |

IS_CALLED

Student StudentId is called Name

| Splitting ENROLMENT |  |  |  |
| :---: | :---: | :---: | :---: |
| IS_CALLED |  | IS_ENROLLED_ON |  |
| StudentId | Name | StudentId | CourseId |
| S1 | Anne | S1 | C1 |
| S2 | Boris | S1 | C2 |
| S3 | Cindy | S2 | C1 |
| S4 | Devinder | S3 | C3 |
| S5 | Boris | S4 | C1 |
| Student Stud <br> Name | entId is called | Student Stud course Cour | tId is enro d |

IS_ENROLLED_ON

Student StudentId is enrolled on course CourseId


## Relational Algebra

Operators that operate on relations and return relations.
In other words, operators that are closed over relations. Just as arithmetic operators are closed over numbers.
Closure means that every invocation can be an operand, allowing expressions of arbitrary complexity to be written. Just as, in arithmetic, e.g., the invocation b-c is an operand of $a+(b-c)$.

The operators of the relational algebra are relational counterparts of logical operators: AND, OR, NOT, EXISTS. Each, when invoked, yields a relation, which can be interpreted as the extension of some predicate.

## Logical Operators

Because relations are used to represent predicates, it makes sense for relational operators to be counterparts of operators on predicates. We will meet examples such as these:

Student StudentId is called Name AND StudentId is enrolled on course CourseId.
Student StudentId is enrolled on some course.
Student StudentId is enrolled on course CourseId AND StudentId is NOT called Devinder.

Student StudentId is NOT enrolled on any course OR StudentId is called Boris.

| Meet The Operators |  |
| :--- | :--- |
| Logic Relational counterpart <br>  JOIN <br> restriction (WHERE) <br> extension <br> SUMMARIZE <br> and some more <br> EXISTS projection <br> OR UNION <br> (AND) NOT (semi)difference <br>  RENAME |  |

## JOIN (= AND)

StudentId is called Name AND StudentId is enrolled on CourseId.

| IS_CALLED |  |
| :--- | :---: |
| Name StudentId    <br> Anne S 1    <br> Boris S 2  IS_ENROLLED_ON  <br> Cindy S 3  StudentId  <br> CourseId     <br> Devinder S 4  S 1  <br> Boris S 5    |  |

## IS_CALLED JOIN IS_ENROLLED_ON

## Definition of JOIN

## Let $s=r 1$ JOIN $r 2$. Then:

The heading $H s$ of $s$ is the union of the headings of $r 1$ and $r 2$.
The body of $s$ consists of those tuples having heading $H s$ that can be formed by taking the union of $t 1$ and $t 2$, where $t 1$ is a tuple of $r 1$ and $t 2$ is a tuple of $r 2$.
If $c$ is a common attribute, then it must have the same declared type in both $r 1$ and $r 2$. (I.e., if it doesn't, then $r 1$ JOIN $r 2$ is undefined.)
Note: JOIN, like AND, is both commutative and associative.

| RENAME |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Sid1 is called Name |  |  |  |  |
| IS_CALLED RENAME ( StudentId AS Sid1 ) |  |  |  |  |
| StudentId | Name | Sid1 | Name |  |
| S1 | Anne | S1 | Anne |  |
| S2 | Boris | S2 | Boris |  |
| S3 | Cindy | S3 | Cindy |  |
| S4 | Devinder | S4 | Devinder |  |
| S5 | Boris | S5 | Boris |  |

## Definition of RENAME

```
Let }s=r\mathrm{ RENAME (Al AS B1, ... An AS Bn )
```

The heading of $s$ is the heading of $r$ except that attribute $A l$ is renamed to $B 1$ and so on.

The body of $s$ consists of the tuples of $r$ except that in each tuple attribute $A 1$ is renamed to $B 1$ and so on.

## RENAME and JOIN

Sid1 is called Name AND so is $\underline{\text { Sid2 }}$
IS_CALLED RENAME (StudentId AS Sid1 ) JOIN IS_CALLED RENAME (StudentId AS Sid2 )

| Sid1 | Name | Sid2 |
| :---: | :---: | :---: |
| S1 | Anne | S1 |
| S2 | Boris | S2 |
| S2 | Boris | S5 |
| S5 | Boris | S2 |
| S3 | Cindy | S3 |
| S4 | Devinder | S4 |
| S5 | Boris | S5 |

## Special Cases of JOIN

What is the result of R JOIN R?
R
What if all attributes are common to both operands?
It is called "intersection".
What if no attributes are common to both operands?
It is called "Cartesian product"

## Interesting Properties of JOIN

It is commutative: $r 1$ JOIN $r 2 \equiv r 2$ JOIN $r 1$
It is associative: $(r 1 \mathrm{JOIN} r 2) \mathrm{JOIN} r 3 \equiv r 1 \mathrm{JOIN}(r 2 \mathrm{JOIN} r 3)$ So Tutorial D allows JOIN $\{r 1, r 2, \ldots\}$ (note the braces)

We note in passing that these properties are important for optimisation (in particular, of query evaluation).

Of course it is no coincidence that logical AND is also both commutative and associative.

## Projection (= EXISTS)

| Student StudentId is enrolled on some course. <br> $\begin{aligned} & \text { IS_ENROLLED_ON }\{\text { StudentId \}} \\ = & \text { IS ENROLLED ON }\{\text { ALL BUT CourseId \}}\end{aligned}$ |  |  |
| :---: | :---: | :---: |
| Given: |  | To obtain: |
| StudentId | CourseId | StudentId |
| S1 | C1 | S1 |
| S1 | C2 | S2 |
| S2 | C1 | S3 |
| S3 | C3 | S4 |
| S4 | C1 |  |

## Definition of Projection

## How ENROLMENT Was Split

```
Let s=r{A1,\ldotsAn}
( \(=r\{\) ALL BUT B1, \(\ldots\) Bm \(\}\) )
```

The heading of $s$ is the subset of the heading of $r$ given by $\{A 1$, ... $A n\}$.

The body of $s$ consists of each tuple that can be formed from a tuple of $r$ by removing from it the attributes named $B 1, \ldots B m$.

Note that the cardinality of $s$ can be less than that of $r$ but cannot be more than that of $r$.

VAR IS_CALLED BASE
SAME_TYPE_AS (ENROLMENT \{ StudentId, Name \}) KEY \{ StudentId \};
IS_CALLED := ENROLMENT $\{$ StudentId, Name \};
VAR IS_ENROLLED_ON BASE
SAME TYPE AS (ENROLMENT \{ ALL BUT Name \}) KEY \{ StudentId, CourseId \};
IS_ENROLLED_ON := ENROLMENT \{ ALL BUT Name \};
Can be done even more economically-see the
Notes!

## Special Cases of Projection

## Another Special Case of JOIN

What is the result of $\mathrm{R}\{$ ALL BUT \}?
R
What is the result of $\mathrm{R}\}$ ?
A relation with no attributes at all, of course!
There are two such relations, of cardinality 1 and 0 . The pet names TABLE_DEE and TABLE_DUM have been advanced for these two, respectively.

What is the result of R JOIN TABLE_DEE ?

R
So TABLE_DEE is the identity under JOIN (cf. 0 under addition and 1 under multiplication.)

