The Askew Wall

SQL and The Relational Model (background to *The Third Manifesto*)

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Terminological Equivalences (?)

Posh term	Cuddly term	
Relation	n Table	
(n-)tuple	Row	
Attribute	Column	
Domain	(data) type	
Domain	(object) class	

The table represents a relation! Its predicate: Cuddly term is the SQL counterpart of Relationland's Posh term.

The Perversity of SQL

SELECT CityName FROM City C1 WHERE 4 > (SELECT COUNT(*) FROM City C2 WHERE C1.Population < C2. Population)

The Unperversified Version

SELECT CityName FROM City C1 WHERE (SELECT COUNT(*) FROM City C2 WHERE C2. Population > C1. Population) < 4

The Third Manifesto

or

Date and Darwen's Database Dream



References

Relational Database Writings 1985-1989 by C.J.Date with a special contribution "Adventures in Relationland" by H.D. (as Andrew Warden)

Relational Database Writings 1989-1991 by C.J.Date with Hugh Darwen

Relational Database Writings 1991-1994 by C.J.Date

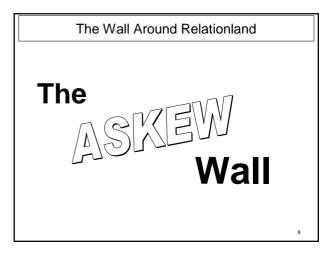
Databases, Types, and The Relational Model : The Third Manifesto by C.J. Date and Hugh Darwen (to appear 2006)

Introduction to Database Systems (8th edition) by C.J. Date

A Brief History of Data 1960: Punched cards and magnetic tapes 1965: Disks and 'direct access' 1970: E.F. Codd's great vision: "A Relational Model of Data for Large Shared Data Banks" 1970: C.J. Date starts to spread the word 1975: Relational Prototypes in IBM: PRTV (ISBL), QBE, System R 1980: First SQL products: Oracle, SQL/DS 1986: SQL an international standard 1990: OODB – didn't come to much in the end 2000: XML? (shudder!)

A Brief History of Me

1967 : IBM Service Bureau, Birmingham
1969 : "Terminal Business System" – putting users in direct contact with their databases.
1972 : Attended Date's course on database (a personal watershed)
1978 : "Business System 12"
- a relational dbms for the Bureau Service
1985 : Death of Bureau Service (and of BS12)
1987 : Joined IBM Warwick dev. lab. Attended a Codd & Date database conference in December
1988 : "Adventures in Relationland" by Andrew Warden. Joined SQL standardization committee.
2004 : Retired from IBM



What The Askew Wall Has Done

Lots of Good Things, to be sure, but ...

- Untold damage to the Relational Model's reputation.
- Stifled research in the relational field.

People even think the Wall is Relationland.

There have even been moves back to the "Higgledy-Piggledy Model of Data" (Object Oriented Databases) and the hierarchical model (XML).

The Good Things The Askew Wall Has Done

- Codd's vision has come true in the following respects: • TABLE as the only available structure.
 - Value at row/column intersection the ONLY method of storing information.e.g., no pointers, no ordering of rows.
 - Orthogonality of tables with respect to data types (domains) over which their columns are defined.
 - The catalogue is made of tables, too.
 - Query language ALMOST closed over tables and does embrace relational algebra/calculus principles (as well as regrettably departing from them).
 - Constraints expressed declaratively, in the schema, and enforced by the dbms.

but... 10

• No "record-level" (or other) subversion.

The Fatal Flaws of SQL

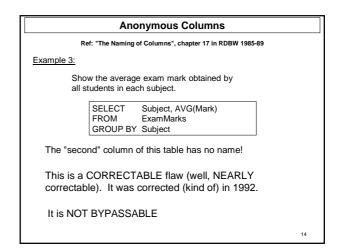
- Anonymous columns (partly addressed in 1992)
- FROM clause restricted to named tables (fixed in 1992)
- Duplicate column names
- Order of columns is significant
- Duplicate rows
- NULL
- Failure to support degenerate cases (e.g. columnless tables)

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- Failure to support "=" properly
- and lots more, and probably to come

COLUMN NAMING FLAWS

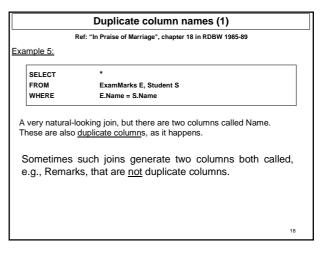
	Α	Thema	atic Query	Example	
Re	ef: "The Na	ning of C	olumns", chap	oter 17 in RDBW	/ 1985-89
Given :	Nam	e	Subject		Mark
ExamMarks	Ann	е	Relationa	I DB	92
	Bori	s	Object D	3	68
	Cinc	dy	Object D	3	56
	Dav	е	Relationa	I DB	84
To derive:					
	Name	Subjec	rt	Mark	Avg
	Anne	Relat	ional DB	92	88
	Boris	Objec	t DB	68	62
	Cindy	Objec	t DB	56	62
	Dave	Relat	ional DB	84	88



FR	COM Clause Restricted to Named Tables
Example 4:	
	student in each subject the mark obtained and the average dents in that subject.
SELECT FROM WHERE	Name, E.Subject, E.Mark, S.??? unnamed column ExamMarks E, (SELECT Subject, AVG(Mark) FROM ExamMarks GROUP BY Subject) S E.Subject = S.Subject
	table flaw (and was corrected in 1992). It is not generally bugh sometimes you can create a named view for the
	articular query CAN be done without nesting (exercise for e solution cannot be generalized.
	15

		The FROM clause fix	
Exan	nple 4 (fixed):		
	obtained a	each student in each subject the mark and the average mark obtained by all n that subject.	
	SELECT FROM WHERE	Name, E.Subject,E.Mark,S.Avg ExamMarks AS E, (SELECT Subject, AVG(Mark) AS Avg FROM ExamMarks GROUP BY Subject) AS S E.Subject = S.Subject	
	SQL:2003.	only an optional conformance feature in I think it is <i>very</i> important. Without it SQL is incomplete. But it's clunky!	
			16

	and made possibly a bit more digestible):
WITH AvgMar (SELECT	
FROM	ExamMarks
GROUP I	BY Subject)
FROM Exar	e, E. Subject,E.Mark,A.Avg nMarks AS E, AvgMarks AS A bject = A. Subject
FROM Exar	nMarks AS E, AvgMarks AS A



Duplicate Column Names (2)

Thanks to AS you can now even do this :

SELECT Col1 AS X, Col2 AS X FROM T

Enjoy!

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A Fix for Duplicate Column Names (1)

NATURAL JOIN was added to SQL in 1992 but not widely implemented.

SELECT

FROM

*

ExamMarks NATURAL JOIN Student

Note elimination of:

need to write a joining condition in the WHERE clause
need to write a possibly long list of column names to avoid having the same column twice

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Why NATURAL JOIN is "Natural"

Relational JOIN is the relational counterpart of logical AND.

Assume predicates: ExamMarks "Name scored Mark in Subject". Student "Name joined in Year, was born on DoB [etc.]"

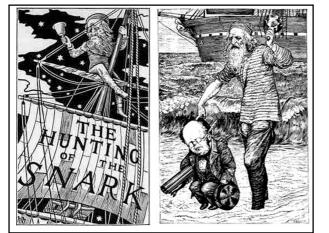
Then the predicate for

ExamMarks JOIN Student

is "Name scored Mark in Subject and Name joined in Year, was born on DoB [etc.]"

The two Names are the same variable!

DUPLICATE ROWS



Duplicate Rows
Ref: "The Duplicity of Duplicate Rows", chapter 5 in RDBW 89-91 "The Keys of the Kingdom", chapter 19 in RDBW 85-89, and:
"If something is true, saying it again doesn't make it any truer" (E.F. Codd)
This is a bypassable flaw:
 Declare at least one candidate key for every base table. and ask for support for system-generated keys.
 Always write DISTINCT after the word SELECT and complain to supplier if this makes duplicate-free queries go slower.
Never write the word ALL after UNION and demand decent optimization here, too.

but, alas, it is not a correctable flaw.

Usability problems should be recognized and solved, but NOT by departing from fundamental principles. $$_{\rm 24}$$

Are Duplicate Rows Really Harmful?

Well, they needlessly complicate the language, making it more difficult than it ought to be to define, teach, and learn.

And they allow the implementation to place some of the performance burden needlessly on its users (when is it safe to write UNION ALL or to omit DISTINCT?)

But look at the trap somebody fell into here:

SELECT COUNT(*) AS HowMany, AVG (Age) AS HowOld FROM

(SELECT Emp#, Age FROM Emp NATURAL JOIN (SELECT Emp#

FROM Worksin WHERE Dept# IN ('D3', 'D7')) AS dummy) AS dummy

"How many people work in departments 3 and 7, and what is their average age?"

In Tutorial D: SUMMARIZE

(((WorksIN WHERE Dept# = 'D3' OR Dept# = 'D7') { Emp# } JOIN Emp) { Emp#, Age }) ADD (COUNT AS HowMany, AVG(Age) AS HowOld)



NULL

Ref: "Into the Unknown", chapter 23 in RDBW 85-89. See also chapters 8 ("NOT" is not 'Not'!") and 13 ("EXISTS is not 'Exists'!" and the whole of part IV(chapters 17-21) in RDBW 89-91

Cause of much debate and anguish.

There's even a split in the relational camp (E.F. Codd proposed "A-marks", "I-marks" and a 4-valued logic).

How many different things can NULL mean? Is it valid to treat all nulls alike?

NULL ruins everything -

- UNION of sets, cardinality of sets.

Destruction of functional dependency theory

SQL's implementation of NULL is even worse than the best suggested by theoreticians. And it's not completely BYPASSABLE, because SQL thinks that the sum of the empty set is NULL! Nor is it CORRECTABLE. 27

Is NULL a Value?

CREATE TABLE NT (N INTEGER) ; INSERT INTO NT VALUES NULL; INSERT INTO NT VALUES NULL;

Now, what is the cardinality of:

(a) SELECT * FROM NT WHERE N = N (b) SELECT * FROM NT WHERE N <> N (c) SELECT DISTINCT * FROM NT

Which answers are consistent with NULL being a value?

What difference would it have made if it had been a value?

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3-Valued Logic: The Real Culprit

Relational theory is founded on classical, 2-valued logic.

A relation *r* is interpreted as a representation of the extension of some predicate *P*.

providate F.

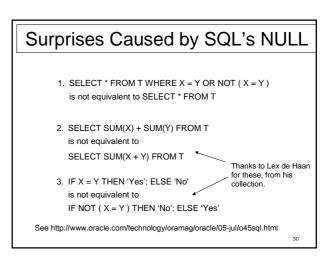
Let *t* be a tuple with the same heading as *r*.

If tuple *t* is a member of *r*, then the proposition P(t) is taken to be TRUE; otherwise (*t* is not a member of *r*), P(t) is taken to be FALSE.

There is no middle ground. The Law of The Excluded Middle applies.

There is no way of representing that the truth of P(t) is unknown, or inapplicable, or otherwise concealed from us.

SQL's WHERE clause arbitrarily splits at the TRUE/UNKNOWN divide.



Why NULL Hurts Even More Than It Once Did

Suppose "x = x" returns "unknown"

Can we safely conclude "x IS NULL" ?

Suppose x "is not the null value"?

Can we conclude "x IS NOT NULL"?

Not in modern SQL!

How x= x Unknown Yet x NOT NULL

For example:

- 1. *x* is ROW (1, null) or even ROW(null, null) ROW(...) is a row "constructor".
- x is POINT (1,null)
 POINT(a,b) is a "constructor" for values in the user-defined data type POINT.

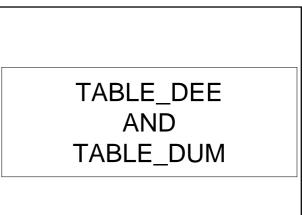
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3. x is ROW (POINT(1,1), POINT(null,3))

Consequences?

NULL Misleads Optimisers! Latest example: (Oracle9i Enterprise Edition Release 9.2.0.7.0) SQL> SELECT * FROM T WHERE C=C OR NOT C=C; C(X, Y) POINT(1, 2) POINT(1, 2) SQL> SELECT * FROM T WHERE (NOT C=C) OR C=C; C(X, Y) POINT(1, NULL) POINT(1, NULL) POINT(1, NULL) POINT(1, 2)



TABLE_DEE and TABLE_DUM

Ref: "TABLE_DEE and TABLE_DUM", chapter 22 in RDBW 85-89, and "The Nullologist in Relationland, or Nothing Really Matters", chapter 13 in RDBW 89-91

Two very important relations that SQL is unaware of.

Consider the question, "Do we have any students?"

In Tutorial D: Student { }

SQL counterpart would be SELECT DISTINCT FROM Student

The result is a relation of degree 0 (no attributes) and either one tuple (TABLE_DEE) or none (TABLE_DUM).

Interesting property of TABLE_DEE: for every relation r, r JOIN TABLE_DEE = r

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Failure to Recognise DEE and DUM

Consequences of SQL's failure to recognise DEE and DUM:

- * Can't have a table with no columns. * Can't DROP the only remaining column.
 - Correctable, not bypassable. * Can't SELECT no columns at all.
 - Correctable, somewhat bypassable.

* FROM clause can't specify "no tables". Correctable, somewhat bypassable.

* Primary and foreign keys can't be empty. An empty PK implies at most one row. Correctable, not bypassable.

and the above set of nullological observations is still growing.

Bypasses	for	Absence	of	DEE	and	DUM
-,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			•••			

Example 6:

"Did any student obtain more than 75 marks in Relational DB?"

SELECT	DISTINCT 'Yes!'
FROM	ExamMarks
WHERE	Mark > 75 AND Subject = 'Relational DB'

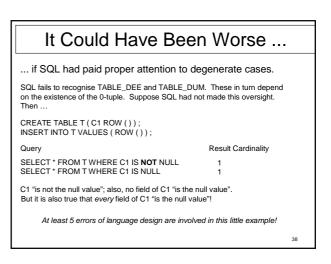
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Example 7:

"What's the time?"

SELECT D	ISTINCT CURRENT_TIME
FROM S	tudent



MISCELLANEOUS FURTHER FLAWS

"=" Is Not "equals"

Modern SQL supports user-defined "equals" functions, for user-defined data types.

We would like to require these to honour the rule that if a=b then for all f, f(a) = f(b)

Unfortunately SQL itself already fails to honour it: 'A' = 'A ', but Length('A') < Length('A ')

Unpleasant consequences for GROUP BY, NATURAL JOIN, DISTINCT, foreign keys, etc.

The Sin SQL Has Not Committed

(yet)

In the Relational Model, the only method of representing information is by a value at some row/column intersection in some table.

The proponents of TSQL2 (temporal extensions to SQL) want "hidden" timestamps.

Violation of Relationland's uniformity of representation of information - hidden data needs additional operators to access it.

In SQL: SELECT Name, Total_Pay FROM (SELECT E.*,* Must qualify * here! Salary + Bonus AS Total_Pay FROM Emp E) AS dummy WHERE Total_Pay > 1000 Required but useless name! In Tutorial D: EXTEND Emp ADD (Salary + Bonus AS Total_Pay) WHERE Total_Pay > 1000 {Name, Total_Pay}

Clunkiness of SELECT-FROM-WHERE

A Murky Story

On the subject of: SELECT(E.*, Salary + Bonus AS Total_Pay

Must qualify * here!

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In 2005 a UK proposal to correct the silly mistake and allow SELECT *, Salary + Bonus AS Total_Pay was vigorously opposed by the USA, led by Oracle and IBM, and consequently defeated. Why?

Because "* leads to maintenance nightmares, and [we are] not aware of any customer request or requirement for the feature" and "its use should be discouraged".

Why "Maintenance Nightmare"

Because of yet another violation by SQL of the relational model, which stipulates that there is no significance to any *order* in which the attributes of a relation might appear.

But for getting data out of and into a table, SQL uses

SELECT/FETCH INTO :v1, :v2, ... and INSERT INTO target source

The mapping from source columns to target columns is by column number.

Defining the order means defining it for every query operator, including FROM and UNION, which thus fail to be commutative (as they should be, as relational counterparts of AND and OR). Are they associative?

The correct approach is to map columns to variables by name, not by order.

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"Nobody Ever Asked For It"

A couple of other nice ideas from ISBL that "nobody has ever asked for" :

SELECT all columns except specified one. In Tutorial D:

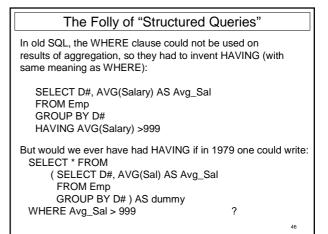
r { ALL BUT a, b, ... }

Very often you know the ones you don't want and there aren't so many of them either. (In 2005 a UK proposal to add * EXCEPT (...) to SQL was also rejected on the grounds that it would encourage use of the hated *.)

Rename selected columns and keep the others. In Tutorial D:

r RENAME (a AS x, b AS y, ...)

Handy for getting the right attributes to match up for JOIN, UNION, etc.



The Clunkiness Again

In SQL (as just seen):

SELECT * FROM (SELECT D#, AVG(Sal) AS Avg_Sal FROM Emp GROUP BY D#) AS dummy WHERE Avg_Sal > 999

In Tutorial D:

SUMMARIZE Emp BY { D# } ADD (AVG(Sal) AS Avg_Sal) WHERE Avg_Sal > 999

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The Folly of Coercion

Consider the so-called scalar subquery, expressed by placing a query inside parentheses:

SELECT D#, (SELECT E#

FROM Emp E

WHERE E.D#=D.D#) AS Emps

FROM Dept D

Scalar subquery or nested table ? I.e., is Emps an employee number or a set of employee numbers? (loosely speaking)

(Answer: an employee number)

Why The Flaws Are "Fatal"

- The Shackle of Compatibility (existing syntax cannot be deleted)
- The Growth of Redundancy (plugging holes gives new solutions where existing solutions already available)
- Desired extensions can be difficult or impossible to specify (e.g., because of nulls)
- Also shackled by existing style

Errors Here to Stay

- * Duplicate Rows
- * NULL

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- * Columns being ordered
- * SELECT-FROM-WHERE
- * scalar subqueries
 - (and probably many others)

The Growth of Redundancy

Since SQL:1992, the following features (e.g.) have been redundant:

- subqueries
- correlation names
- doing joins in longhand
- the HAVING clause
- the GROUP BY clause

OBJECT SUPPORT

Object Oriented Databases

A couple of good ideas:

 Database variable types available for local variables too (but a relational database must be restricted to relation variables)

• User-defined types (classes)

A questionable idea:

• Type inheritance via extension rather than by specialisation (e.g., 3D_POINT subtype of 2D_POINT ???)

Bad ideas (for relational database purposes):

- "Persistence is orthogonal to type" (see first good idea)
- .
- Object identifiers (because they are *pointers*) Class extents (when used for the purpose of relations) Operator ("method") definitions bundled with type definitions
- "Selfish methods" (I.e., the "distinguished parameter")

Rapprochement

A bringing together of objects and relations. Widely sought, because:

- * Some Objectlanders wanted to be able to do what Relationlanders do with tables - specially ad hoc queries and declarative constraints.
- * Some Relationlanders wanted to do some more complicated things that require user-defined data types of arbitrary complexity.

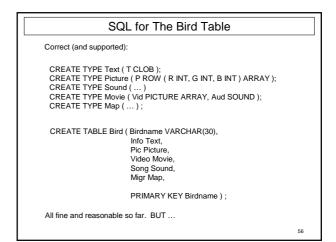
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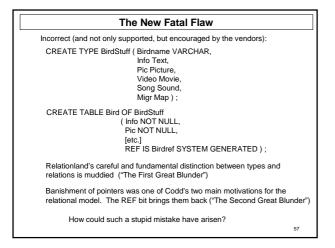
	A Mu	ultimediur	m Relation		
BirdName	Info	Pic	Video	Song	Migr
Robin		S.			Q
Thrush		Ş	<u>, Ci</u>	66	Ø
Sparrow	R	S.			Q

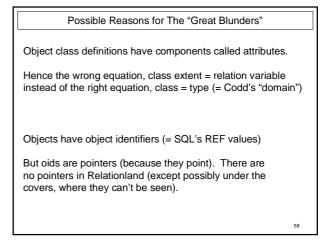
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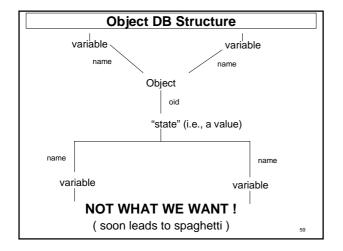
Predicate:

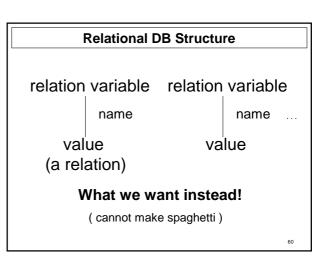
Info is information about bird BirdName, and Pic is a picture of BirdName, and Video is a video of BirdName, and Song is BirdName's song, and Migr is BirdName's migration route.



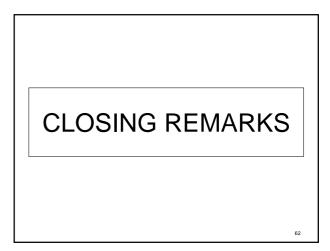


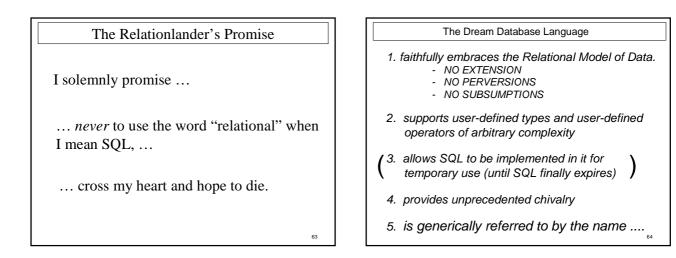


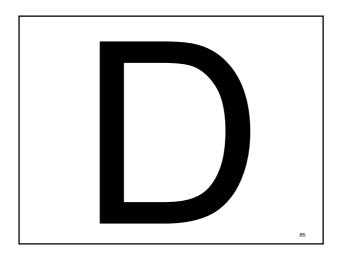


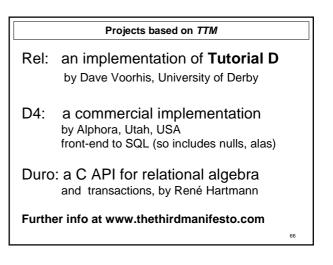


Terminological	Rapprochement	
Objectland	Relationland	
Class	Domain (now Type)	
Object	(Variable)	
Object identifier	none (but we have keys)	
Method	Function, Procedure	
Message	Operator invocation	
Inheritance	Inheritance (thanks?)	
Polymorphism	Polymorphism (thanks?)	
Distinguished parameter	none (& don't want!)	
none, and really wanted!	Relation	









Some Guiding Principles

Some important principles that we have become particularly conscious of, for various reasons.

Some have always been with us.

Some arise from a retrospective look at our manifesto.

Some may even be said to have informed our manifesto.

Logical Differences

Principle #1 (our motto)

"All logical differences are big differences" (Wittgenstein)

So all logical mistakes are big ones!

And we think all non-logical differences are small ones. In the database context, at least.

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Values and Variables

Principle #2

"We retain and embrace a clear distinction between values and variables"

(Object Orientation seems to have blurred this distinction.)

Data Types and Relations

Principle #3 Data types and the relational model are orthogonal to each other.

Corollary :

The relational model has no jurisdiction concerning which data types a relational system should support.

(except we must have relation types, and BOOLEAN!)

Types are Not Tables Principle #4

Types are to tables as nouns are to sentences!

So we cannot accept the equation object class = relation that some ORDBMSs (and SQL!) attempted to embrace.

"object class = domain" works fine. 71

Domains as Predicates

Questioning Principle #4 some have asked :

"But aren't domains predicates, too?" meaning "aren't they therefore relations, too?"

Well, yes - E.g. "i is an integer"

But in that case, what is the domain of i?

Model and Implementation Principle #5

We retain a strong, clear distinction between model and implementation.

So, we will not define our abstract machine in terms of what the system "really does".

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A Database is Not a Model Corollary

A database is an account of some enterprise, not a model of it.

In a relational database, the account is in the form of tuples, each of which is to be interpreted as some statement of *belief*. Under this interpretation, the system is able to derive certain other, non-stated beliefs when asked to do sø.

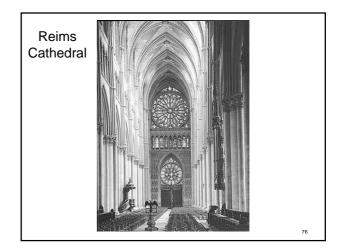
Conceptual Integrity Principle #6

"Conceptual integrity is *the* most important property of a software product" (Fred Brooks, 1975)

Of course, you must *have* concepts before you can be true to any. These had better be:

a.few

b.agreeable to those invited to share them



Conceptual Integrity

Principle #6 (bis)

"This above all: to thine own self be true, And it must follow, as the night the day, Thou canst not then be false to any user."

(from Polonius's advice to D, by WS with HD)

