

THE TREE EVALUATION PROBLEM

CONTEXT & RECENT RESULTS

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OCS, 2023.10.12

TREE EVALUATION

$$NC^1 \subseteq L \subseteq NL \subseteq NC^2 \subseteq P$$

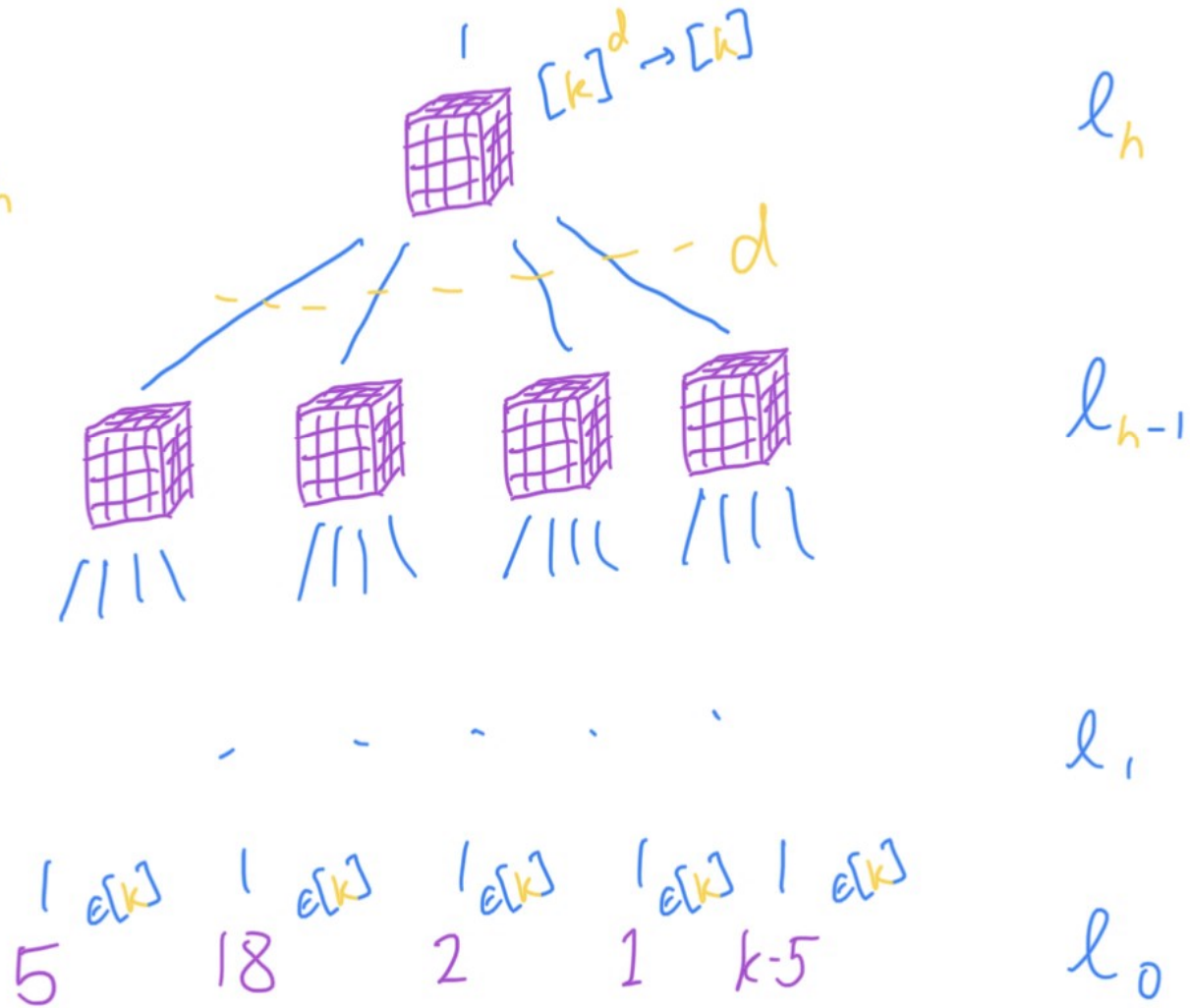
TREE EVALUATION

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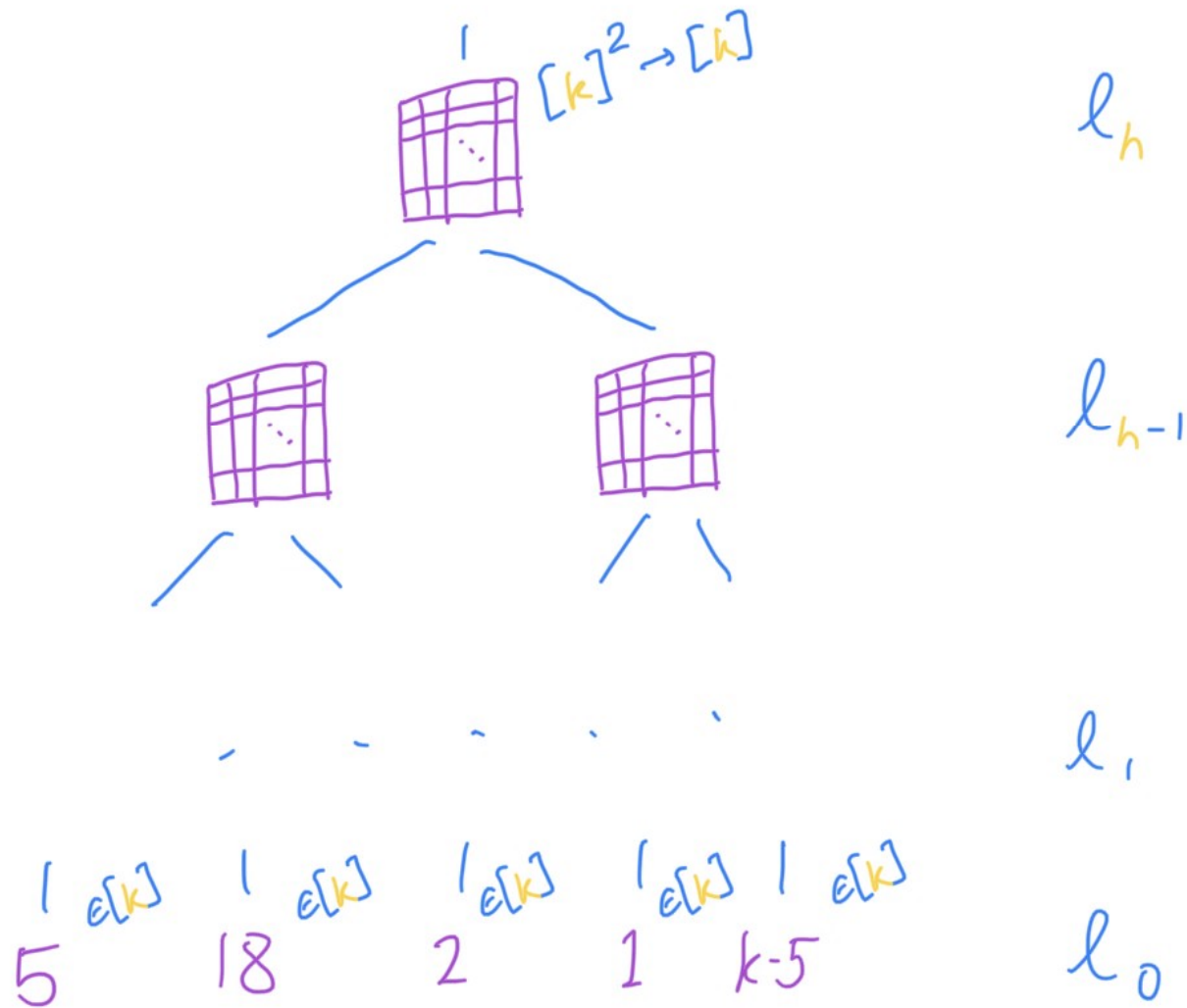
TREE EVALUATION

TEP_{k,d,h}



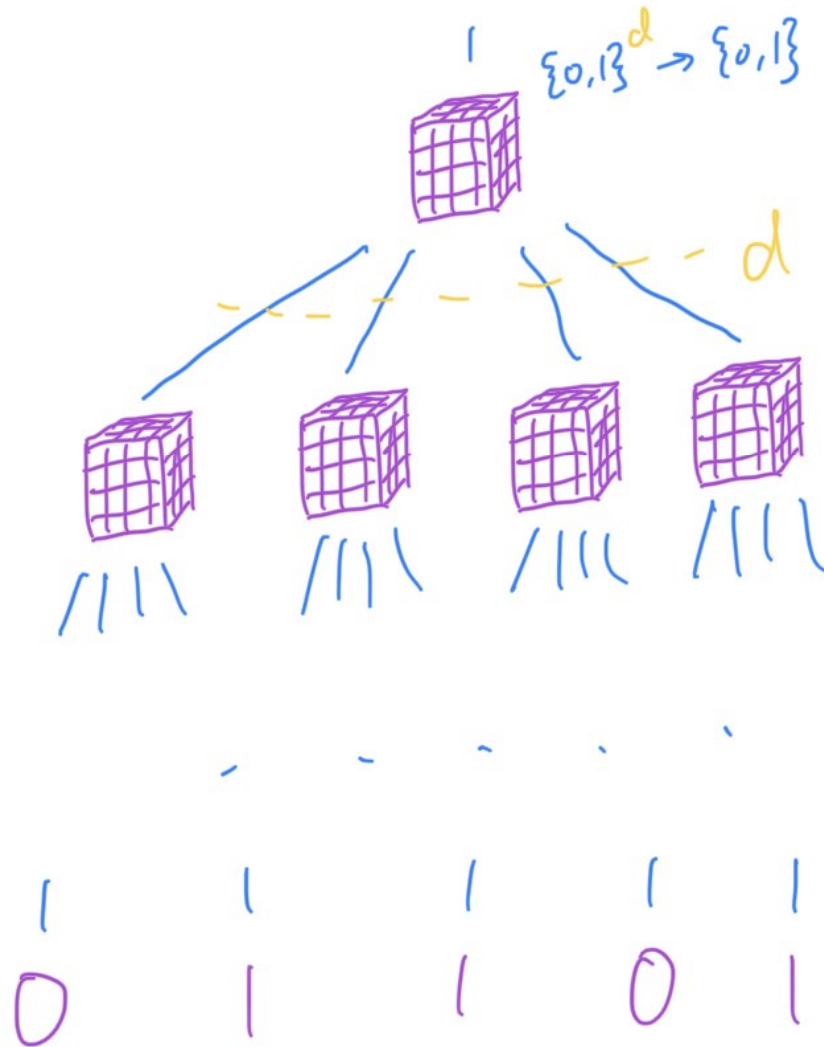
TREE EVALUATION

TEP_{k,h}



TREE EVALUATION

TEP_{d,h}
(IMX)



TREE EVALUATION

TEP_{k, d, h} ∈ P

(or even NC^2)

TREE EVALUATION

$$\text{TEP}_{k, d, h} \in P$$

(or even NC^2)

$$NC^1 \leq \text{TEP}_{2, 2, \log n}$$

TREE EVALUATION



HARDNESS OF TEP

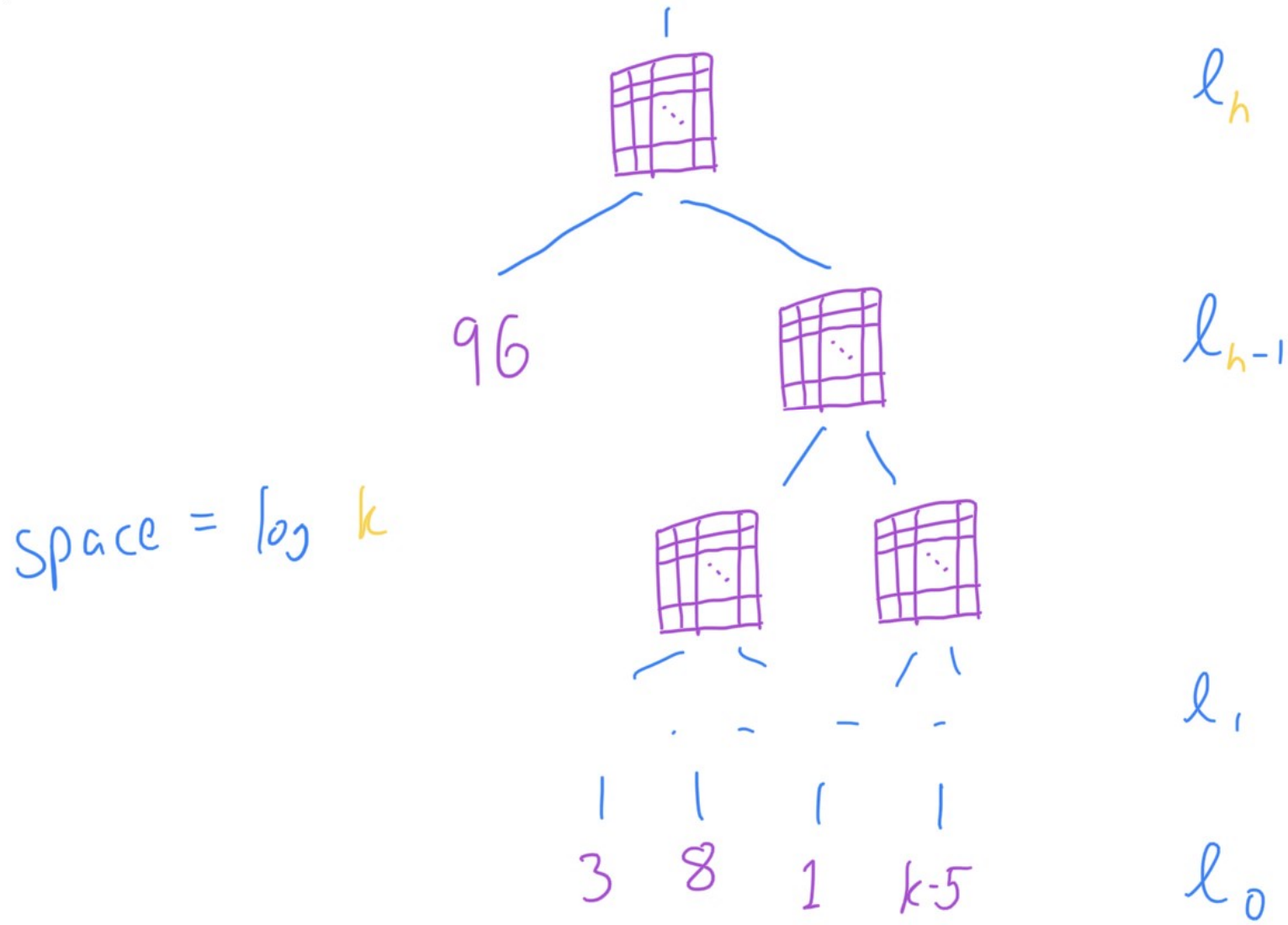
CONJECTURE [KRW'95]: $TEP_{d,h} \notin NC^1$

HARDNESS OF TEP

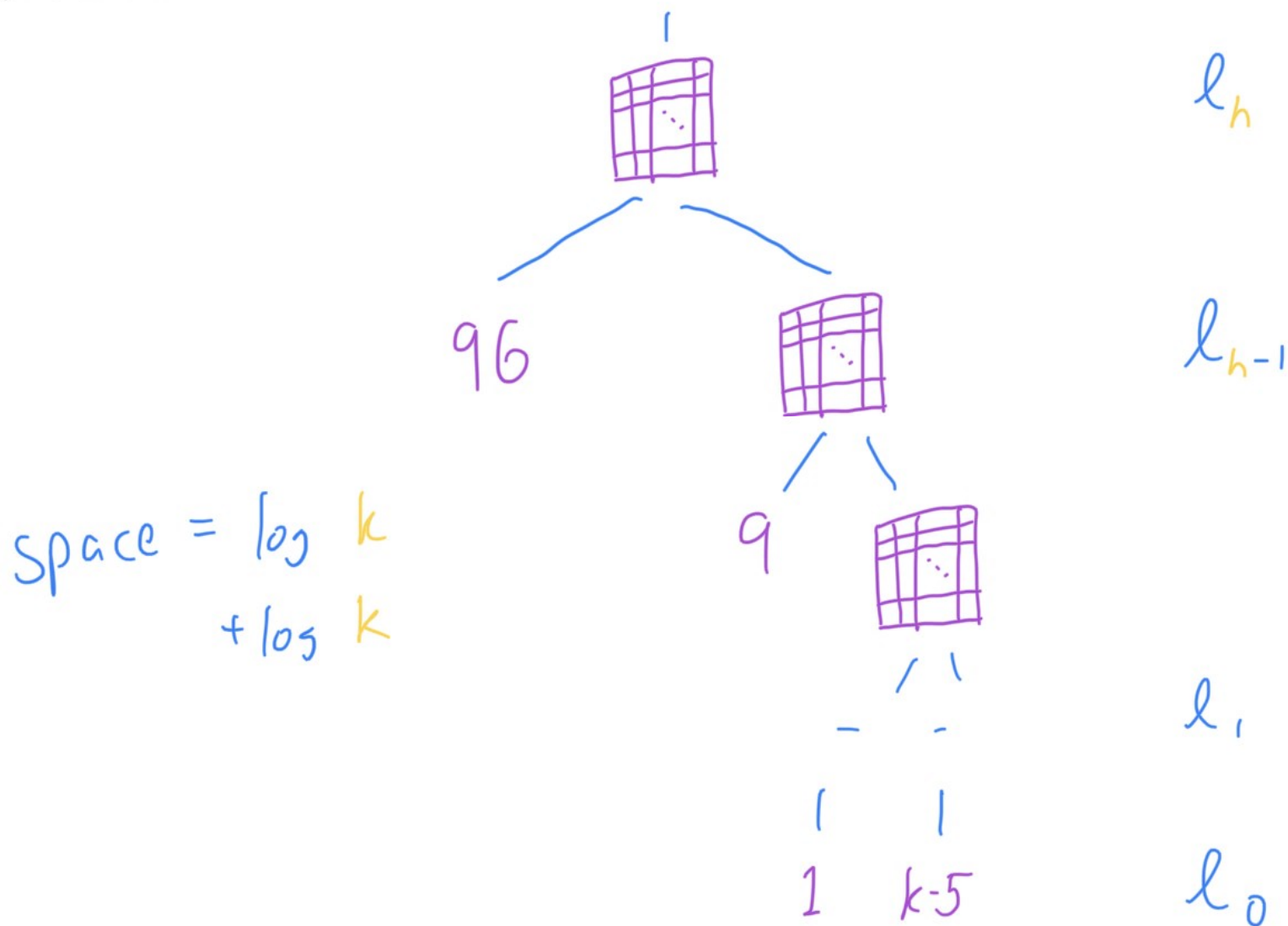
CONJECTURE [KRW'95]: $TEP_{d,h} \notin NC^1$

CONJECTURE [CMWBS'12]: $TEP_{k,h} \notin L$

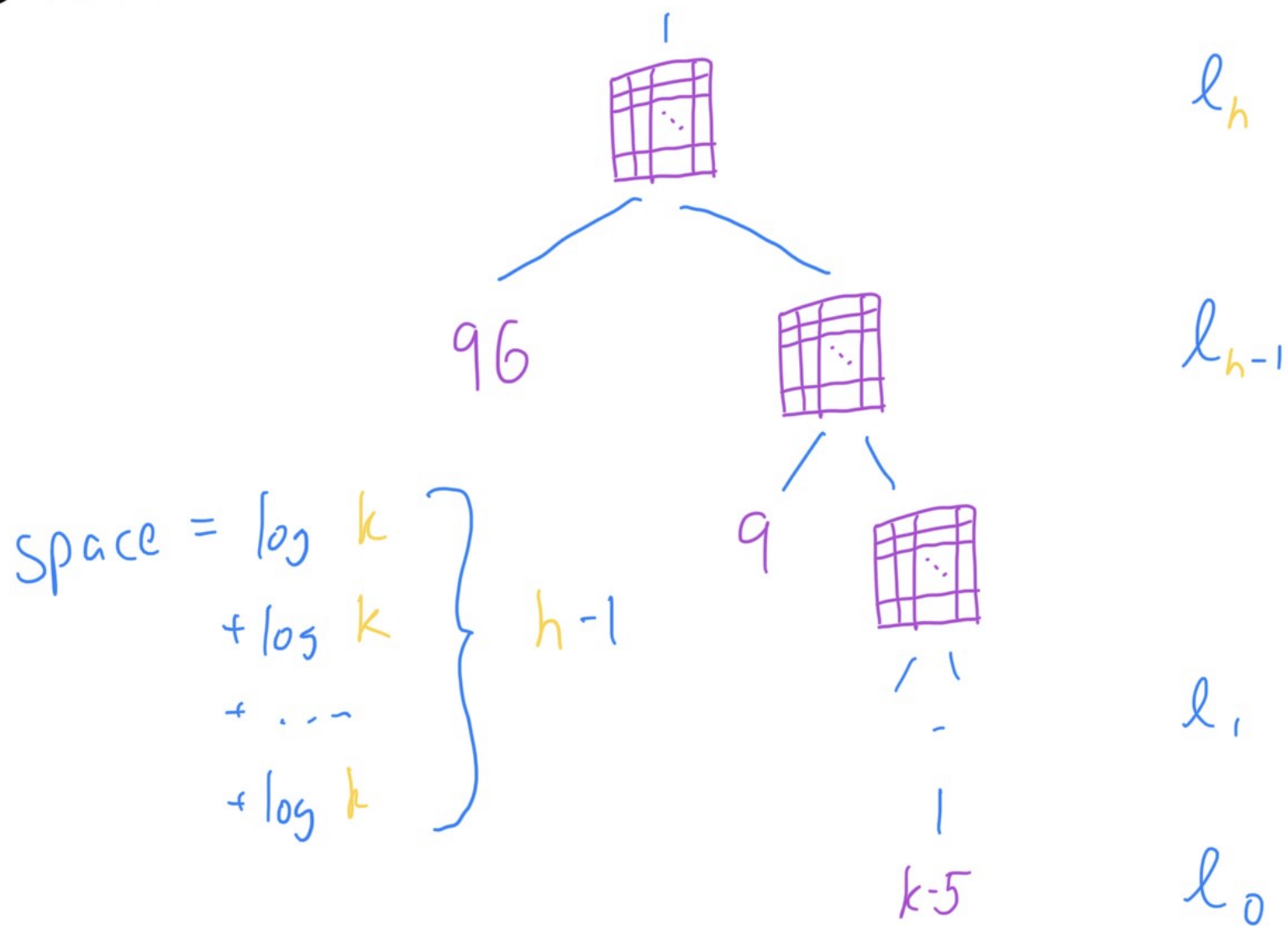
HARDNESS OF TEP



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HARDNESS OF TEP

CONJECTURE [CMWBS'12]: $TEP_{k,h}$ requires

space $\Omega(h \log k) = \Omega(\log^2 n)$

$$(|TEP_{k,h}| = 2^h \text{poly } k)$$

HARDNESS OF TEP

CONJECTURE [CMWBS'12]: $TEP_{k,h}$ requires

space $\Omega(h \log k) = \Omega(\log^2 n)$

thrifty ✓

read-once ✓

$$|TEP_{k,h}| = 2^h \text{poly } k$$

HARDNESS OF TEP

CONJECTURE [CMWBS'12]: $TEP_{k,h}$ requires

space $\Omega(h \log k) = \Omega(\log^2 n)$
^
non-deterministic

thrifty ✓

read-once ✓

$$|TEP_{k,h}| = 2^h \text{poly } k$$

HARDNESS OF TEP

CONJECTURE [KRW'95]: $TEP_{d,h}$ requires

depth $\Omega(dh) = \Omega(\log^2 n / \log \log n)$

$$(|TEP_{d,h}| = d^h 2^d)$$

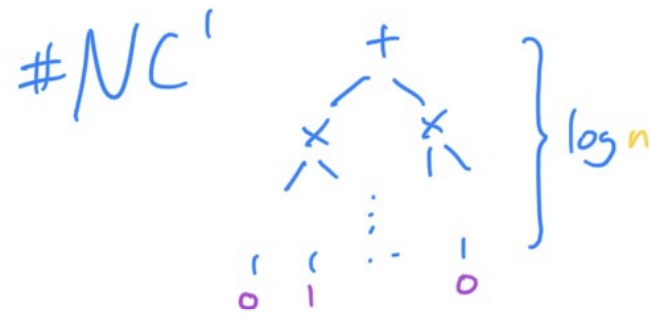
EASINESS OF TEP?

BARRINGTON'S THEOREM: NC^1 can be
computed with permutation BPs
of $\text{poly}(n)$ length and width 5.

EASINESS OF TEP?

THEOREM [BC'89]: $\#NC' \subseteq L$.

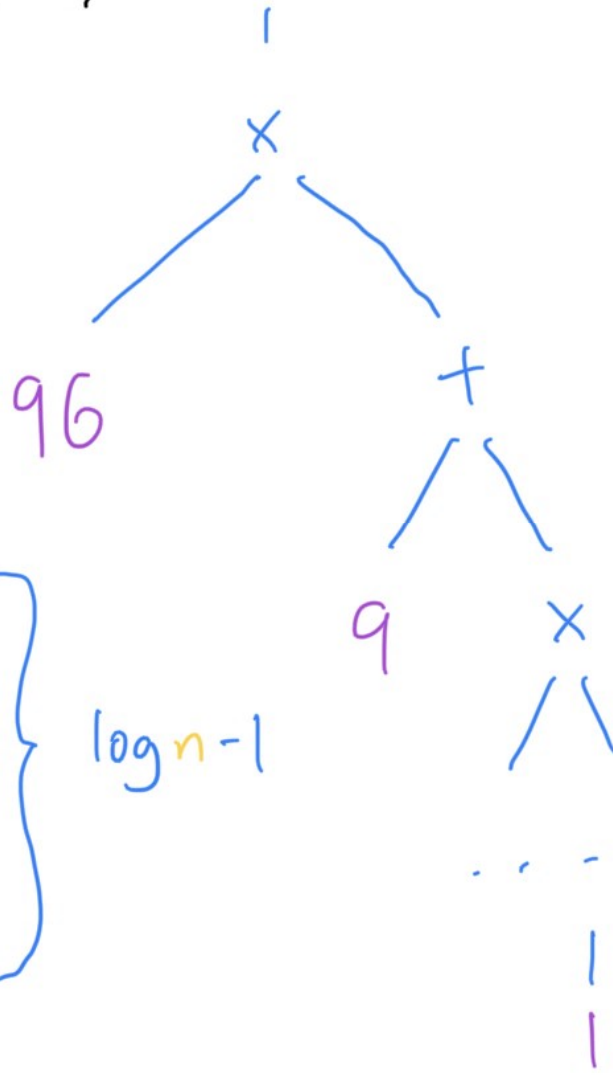
EASINESS OF TEP?



\subseteq #NC' \supseteq

$$NC' \subseteq L \subseteq NL \subseteq NC^2 \subseteq P$$

EASINESS OF TEP?



$l_{\log n}$

$l_{\log n - 1}$

space = $\log n$
 + $\log n$
 + ...
 + $\log n$ } $\log n - 1$

l_1

l_0

BEN-OR & CLEVE

PROOF [BC'89]:

two uses of space:

1) storage

2) computation

BEN-OR & CLEVE

PROOF [BC'89]:

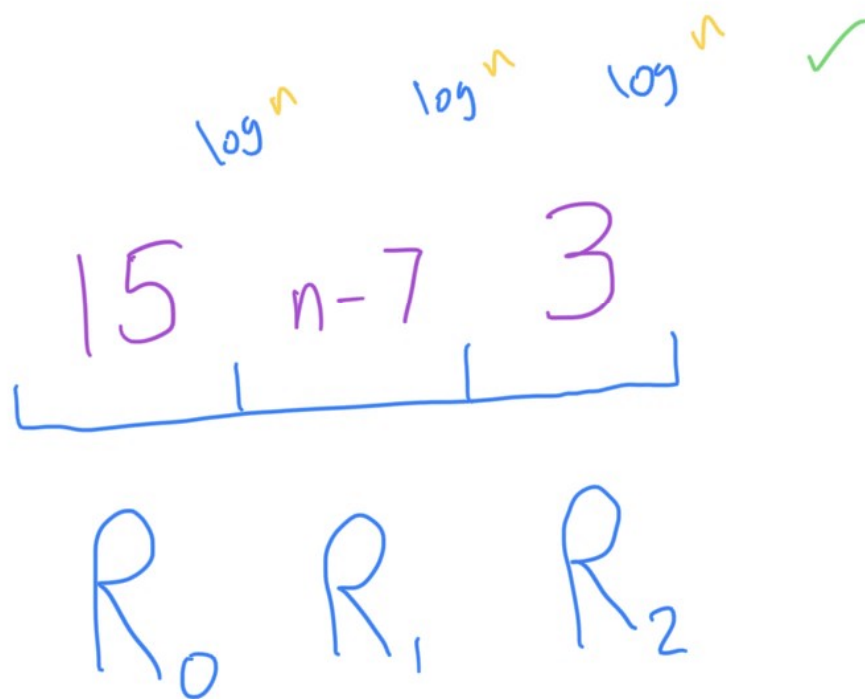
two uses of space:

1) storage

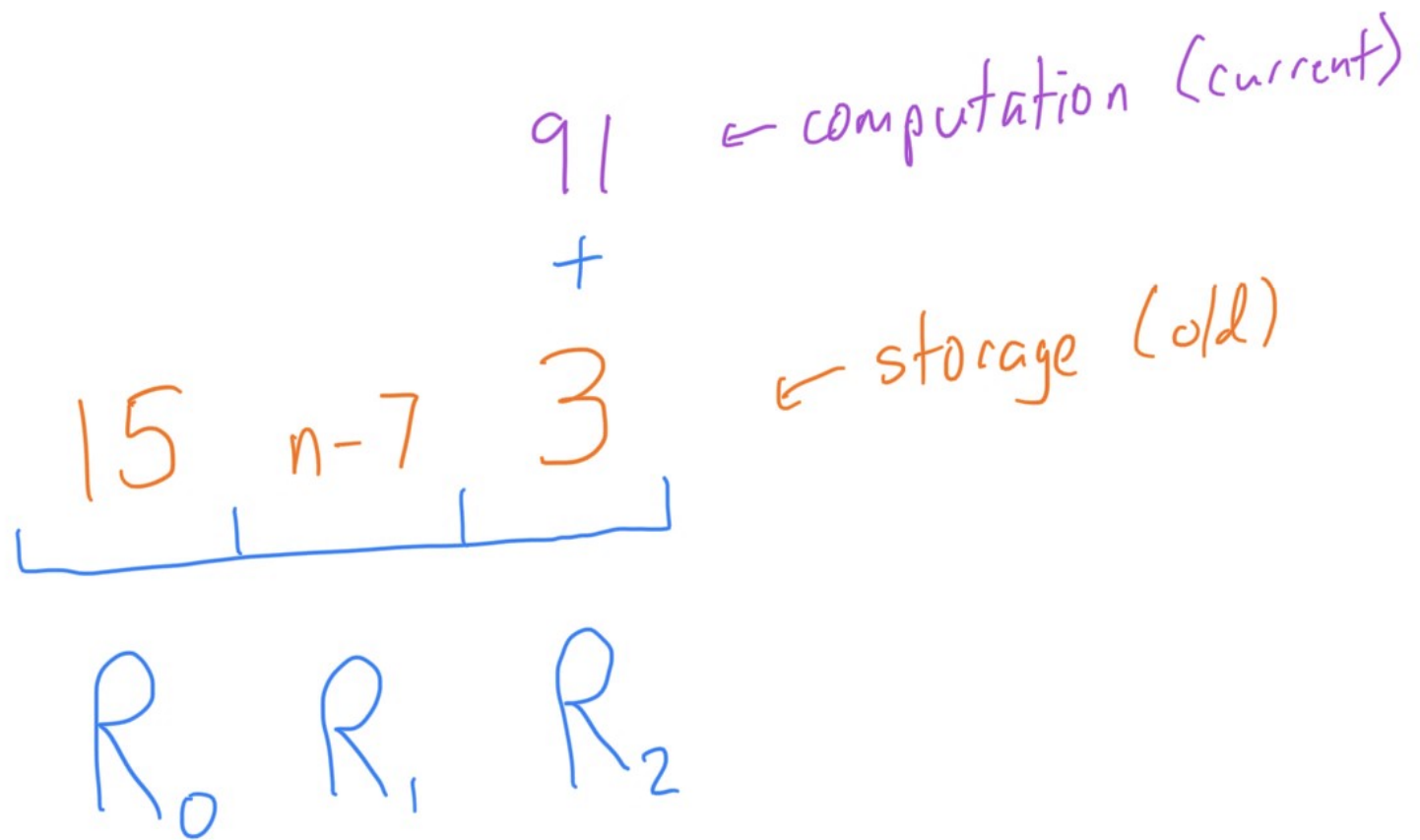
2) computation

\ / BOTH AT ONCE?

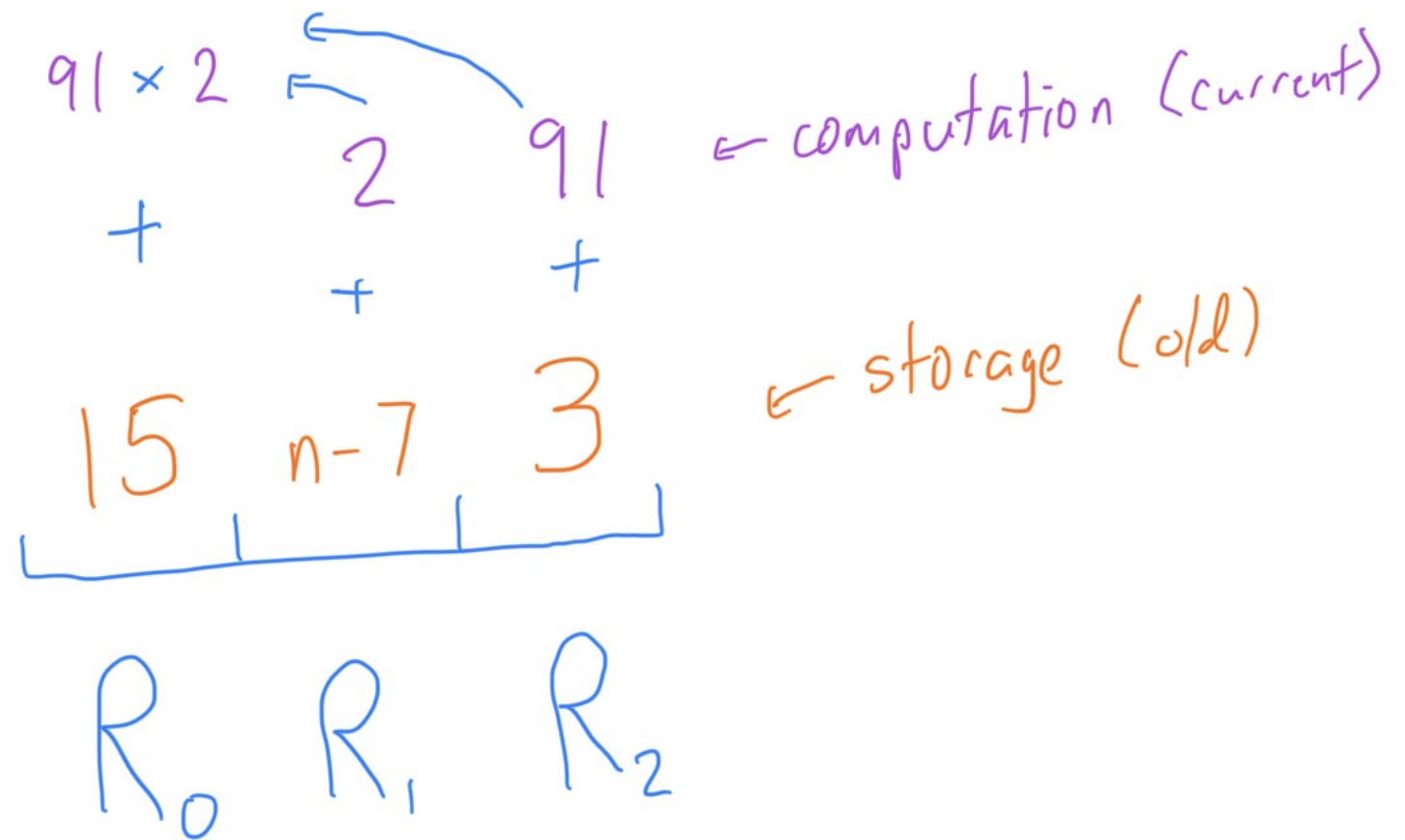
BEN-OR & CLEVE



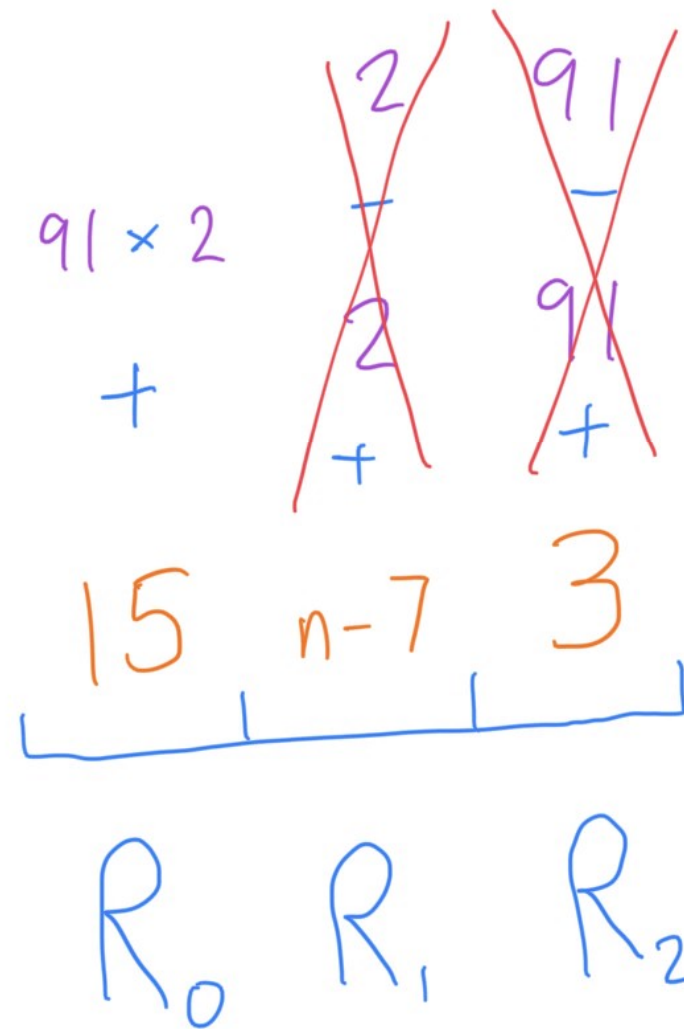
BEN-DR & CLEVE



BEN-OR & CLEVE



BEN-DR & CLEVE



← computation (current)

← storage (old)

BEN-OR & CLEVE

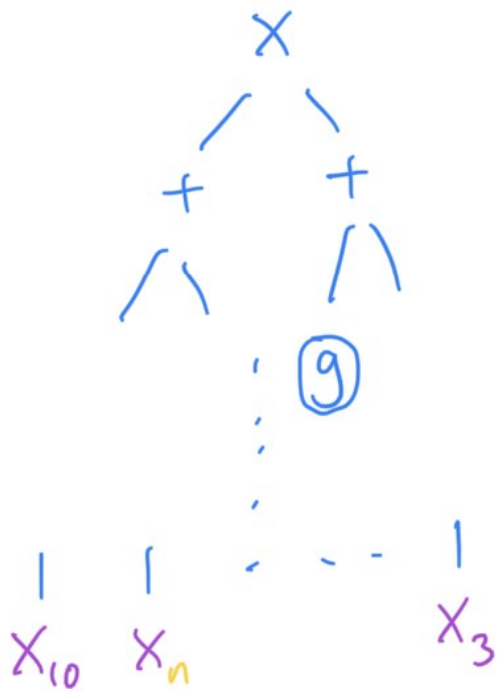
LEMMA: $\forall g \in \mathcal{C}, \exists P_g$ s.t.

$$P_g : R_0 \leftarrow R_0 + v_g$$

$$R_1 \leftarrow R_1$$

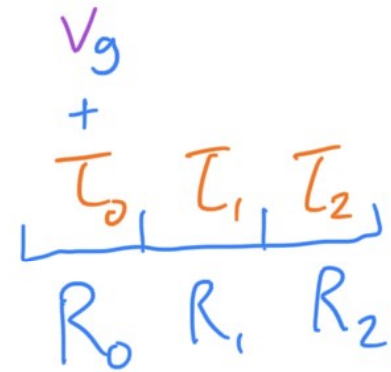
$$R_2 \leftarrow R_2$$

BEN-DR & CLEVE

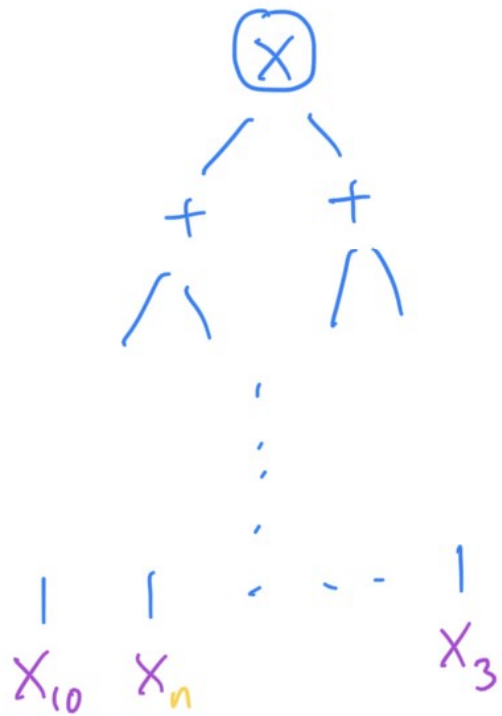


P_g

→

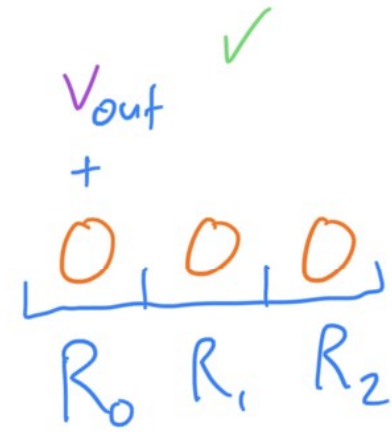


BEN-DR & CLEVE



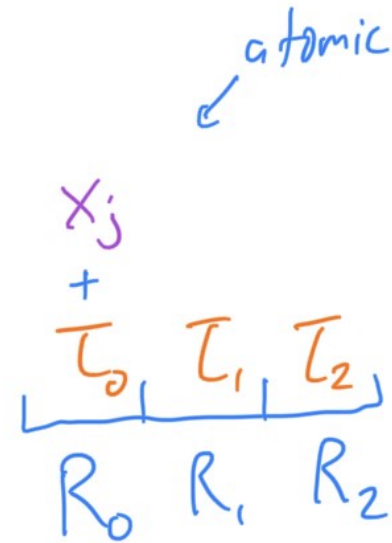
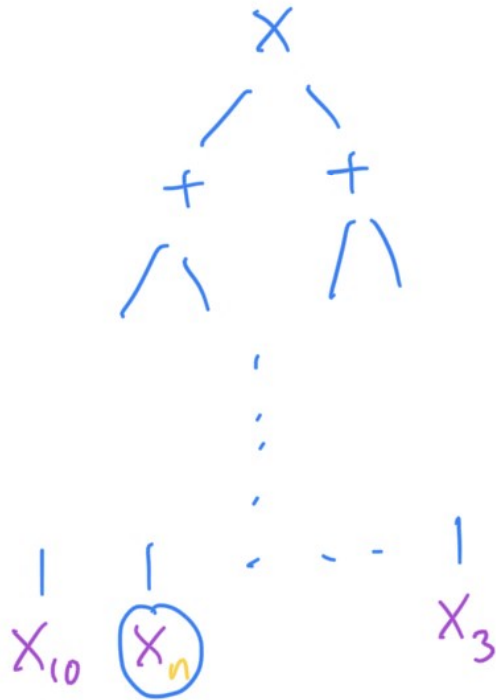
P_{out}

→



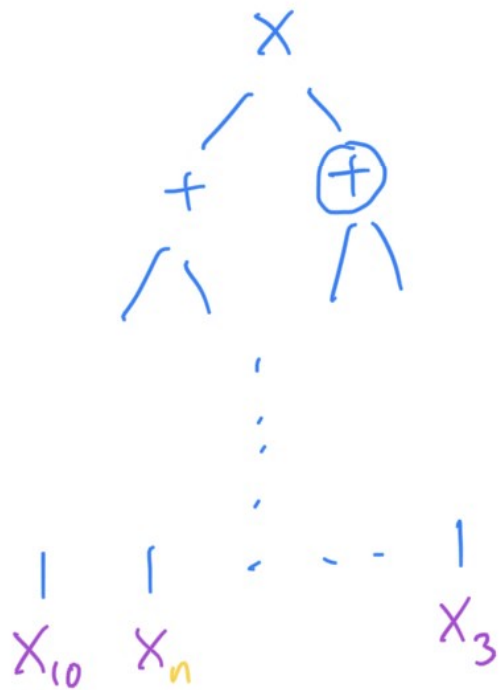
BEN-DR & CLEVE

base case: $g = x_j$



BEN-OR & CLEVE

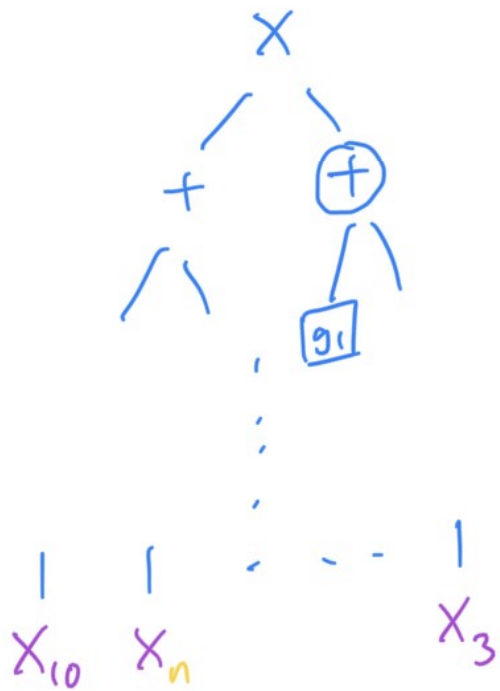
case 1: $g = g_1 + g_2$



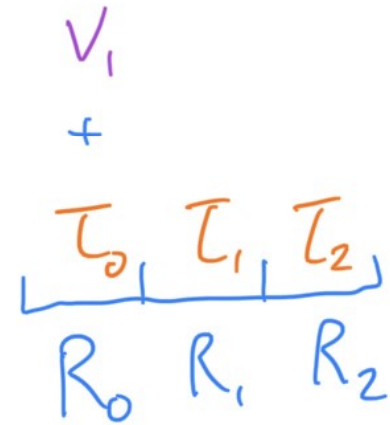
T_0	T_1	T_2
R_0	R_1	R_2

BEN-DR & CLEVE

case 1: $g = g_1 + g_2$

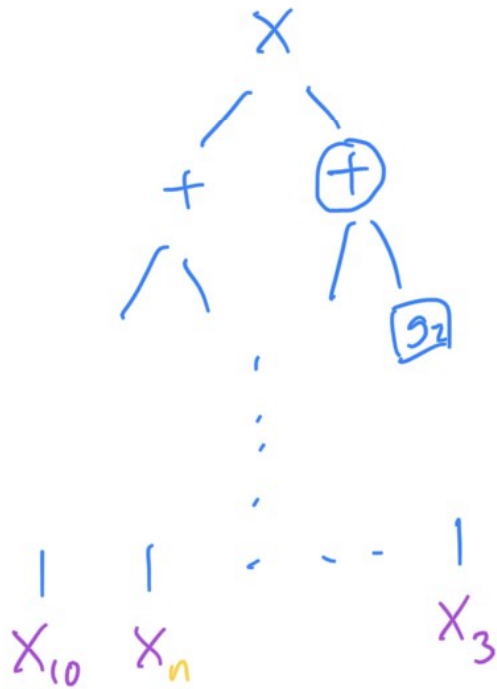


P_{g_1}
→



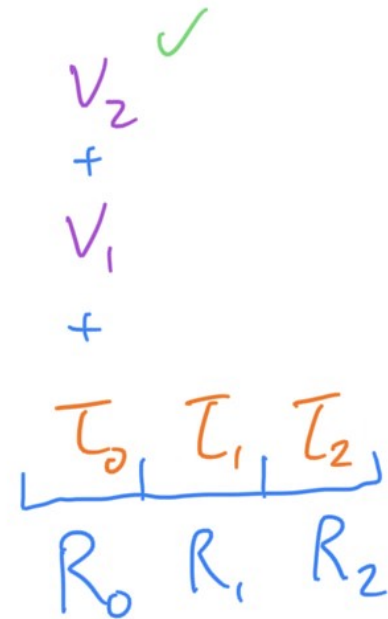
BEN-OR & CLEVE

case 1: $g = g_1 + g_2$



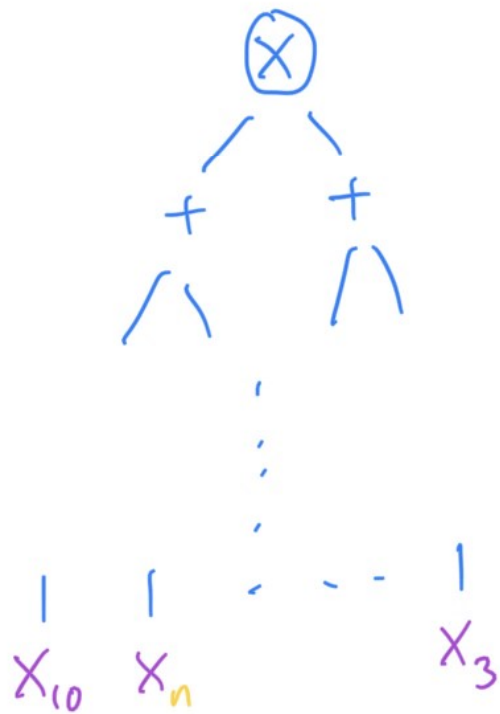
P_{g_2}

→



BEN-OR & CLEVE

case 2: $g = g_1 \times g_2$



$$\begin{array}{c} V_1 V_2 \quad \checkmark \\ + \\ \hline T_0 \quad T_1 \quad T_2 \\ \hline R_0 \quad R_1 \quad R_2 \end{array}$$

BEN-DR & CLEVE

$$\text{space} = \underbrace{3 \log n}_{R_0 R_1 R_2} + \underbrace{O(\log n)}_{\text{misc}}$$

+ log runtime

BEN-DR & CLEVE

$$\text{space} = \underbrace{3 \log n}_{R_0 R_1 R_2} + \underbrace{O(\log n)}_{\text{misc}}$$

+ log runtime

$P_g \leftarrow 4 \text{ calls to } P_{g'} \text{ s} + 4 \text{ other instructions}$

BEN-DR & CLEVE

$$\text{space} = \underbrace{3 \log n}_{R_0 R_1 R_2} + \underbrace{O(\log n)}_{\text{misc}}$$

+ log runtime

$P_g \leftarrow 4$ calls to $P_{g'}$'s + 4 other instructions

$$R(h) \leq 4 \cdot R(h-1) + 4 \rightarrow 4^{\log n} \text{ poly } n \text{ instructions} \checkmark \quad \square$$

$$\text{SPACE}(f, z) = \text{SPACE}(f) + |z| ?$$

$$\text{SPACE}(f, z) = \text{SPACE}(f) + |z| ?$$

[CMWBS'12]: YES for most f (conjecture)

[BC'89]: NO for $f = +, \times$

CATALYTIC COMPUTING

[BCKLS'14]: let's model it

CATALYTIC COMPUTING

[BCKLS'14]: let's model it

input



work



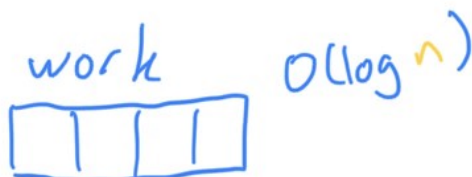
catalytic



must reset
at the end!

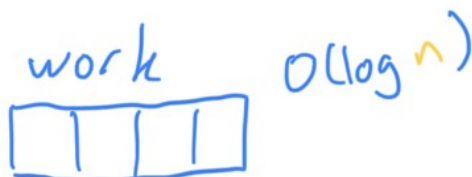
CATALYTIC COMPUTING

CL



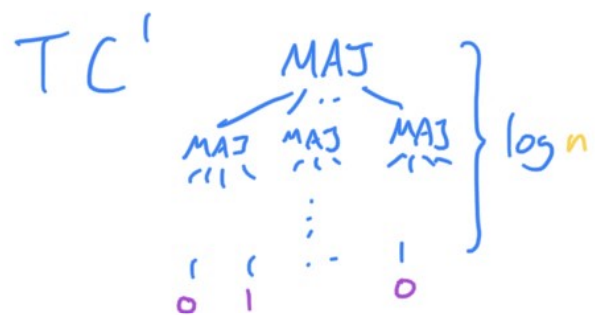
CATALYTIC COMPUTING

CL



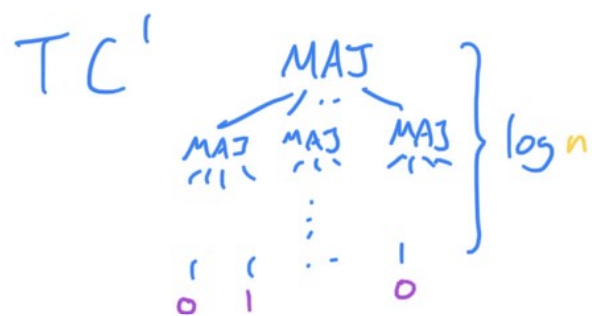
Q: what can CL do that L can't?

CATALYTIC COMPUTING



$$NC' \subseteq L \subseteq NL \stackrel{\subseteq}{\stackrel{TC'}{\subseteq}} NC^2 \subseteq P$$

CATALYTIC COMPUTING



CL



$$\subseteq TC' \subseteq CL$$

$$NC' \subseteq L \subseteq NL \subseteq NC^2 \subseteq P$$

CATALYTIC COMPUTING

LEMMA: $\forall g \in \mathcal{C}, \exists P_g$ s.t.

$$P_g : R_0 \leftarrow R_0 + v_g$$

$$R_i \leftarrow R_i \quad \forall i \neq 0$$

gateset: $MAJ(x) = \begin{cases} 1 & \text{if } \sum_{i=1}^m x_i \geq \frac{m}{2} \\ 0 & \text{o.w.} \end{cases}$

CATALYTIC COMPUTING

$$\text{MAJ}(x) \equiv \sum_{k=\frac{m}{2}}^m \left[1 - \left(\sum_{i=1}^m x_i - k \right)^{p-1} \right] \text{mod } p$$

CATALYTIC COMPUTING

$$\text{MAJ}(x) \equiv \sum_{k=\lceil \frac{m}{2} \rceil}^m \left[1 - \left(\sum_{i=1}^m x_i - k \right)^{p-1} \right] \pmod{p}$$

The diagram illustrates the modular arithmetic components of the equation. A blue bracket under the summation index $k=\lceil \frac{m}{2} \rceil$ points to the symbol P_Σ . Another blue bracket under the term $(\sum_{i=1}^m x_i - k)^{p-1}$ points to the symbol $P_{\wedge p-1}$.

CATALYTIC COMPUTING

$$\text{MAJ}(x) \equiv \sum_{k=\lfloor \frac{m}{2} \rfloor}^m \left[1 - \left(\sum_{i=1}^m x_i - k \right)^{p-1} \right] \pmod{p}$$

\downarrow \downarrow

P_{Σ} $P_{\wedge p-1}$

Efficiency: poly n registers

$O(1)$ recursive calls

□

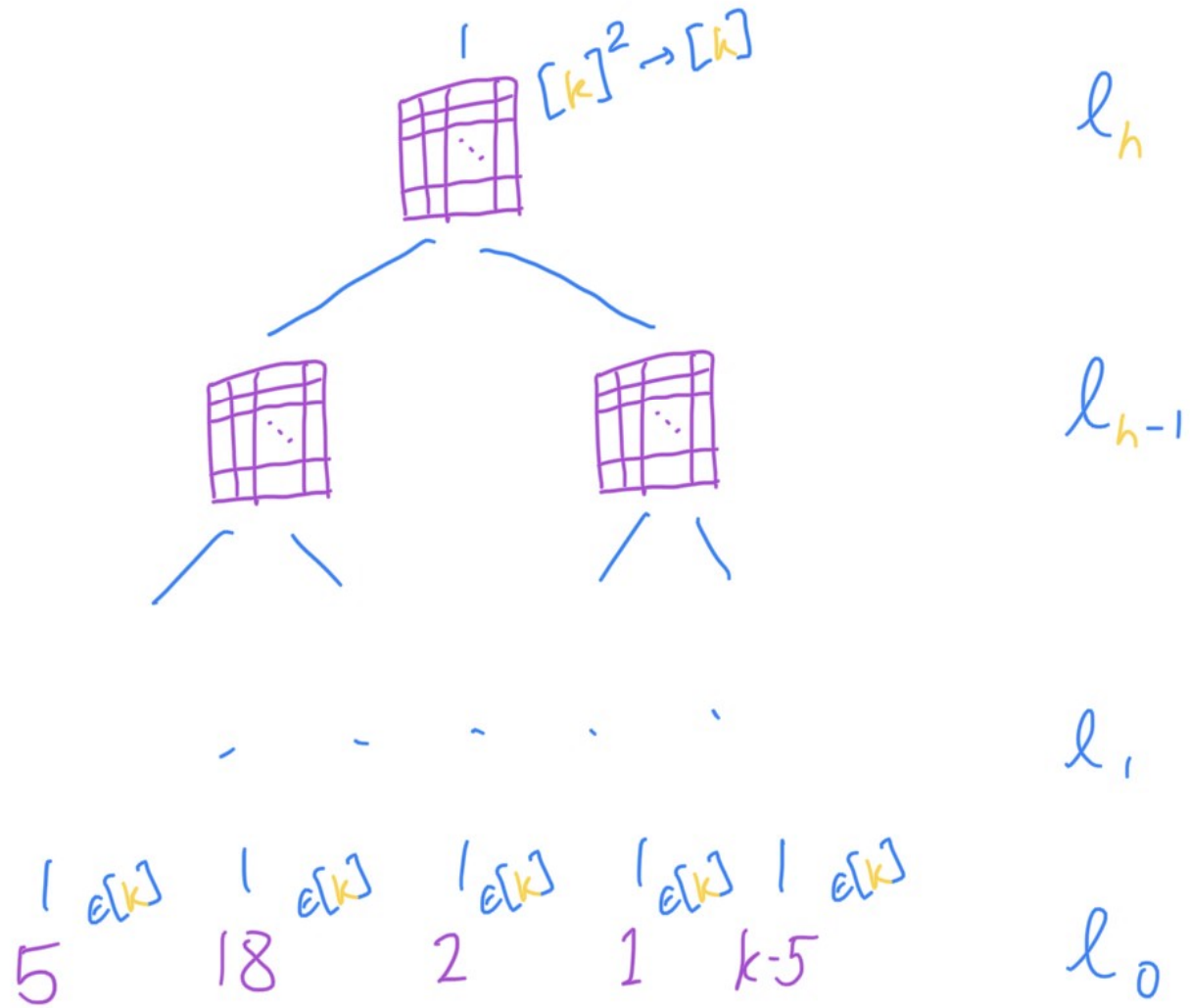
$$\text{SPACE}(f, z) = \text{SPACE}(f) + |z| ?$$

TEP UPPER BOUNDS

THEOREM [CM'20, 21]: $TEP_{k,h}$ can be
solved in space $O(h \log k / \log h)$
($= O(\log^2 n / \log \log n)$)

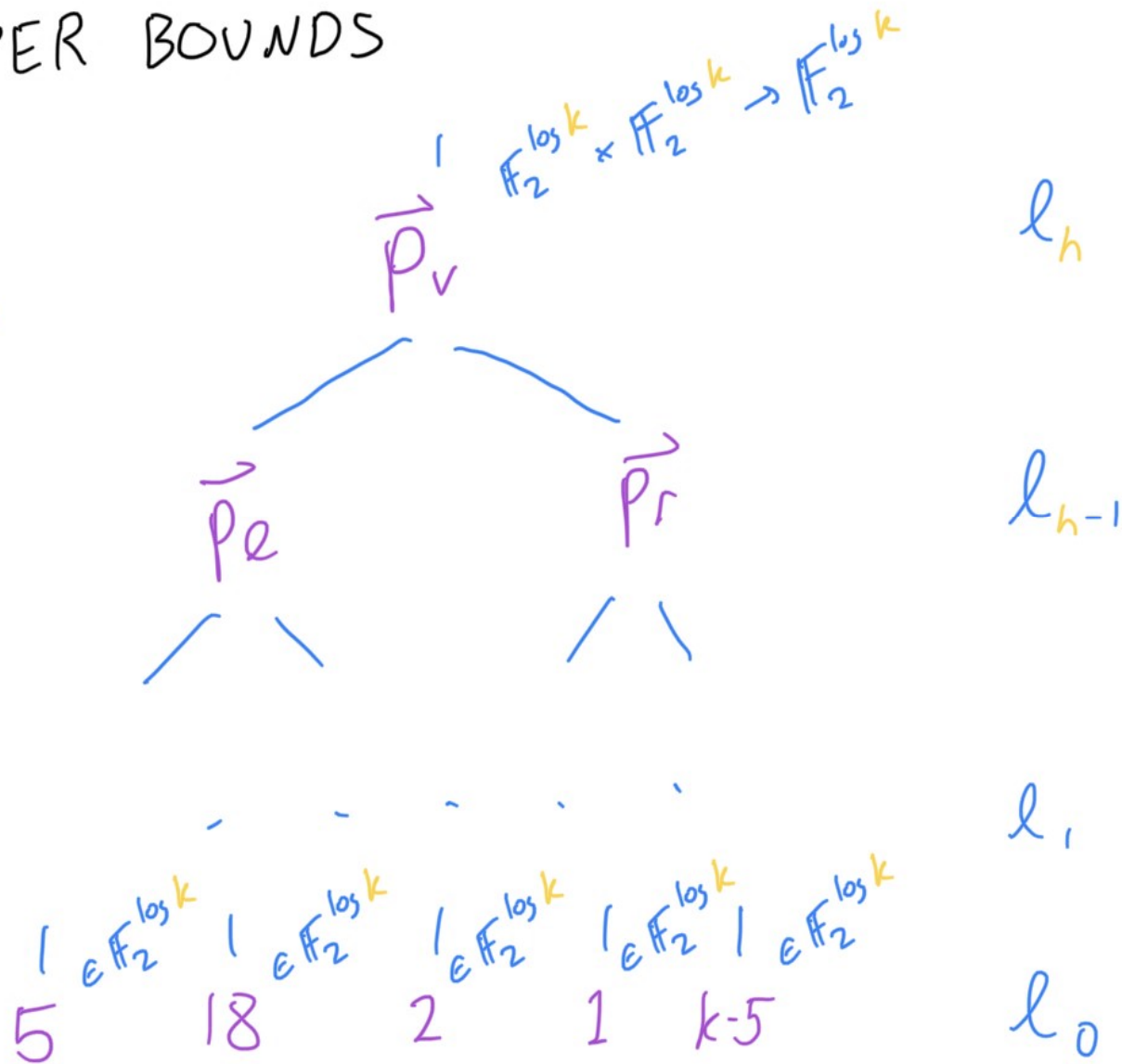
TEP UPPER BOUNDS

TEP_{k,h}



TEP UPPER BOUNDS

TEP_{k,h}



TEP UPPER BOUNDS

LEMMA: $\forall g \in \mathcal{C}, \exists P_g$ s.t.

$$P_g : R_0 \leftarrow R_0 + v_g$$

$$R_i \leftarrow R_i \quad \forall i \neq 0$$

gateset: $\vec{P}(\vec{y}, \vec{z}) : \mathbb{F}_2^{\log k} \times \mathbb{F}_2^{\log k} \rightarrow \mathbb{F}_2^{\log k}$

TEP UPPER BOUNDS

$$\vec{P}(\vec{y}, \vec{z}) : \mathbb{F}_2^{\log k} \times \mathbb{F}_2^{\log k} \rightarrow \mathbb{F}_2^{\log k}$$

Efficiency: $3 \log k$ registers over \mathbb{F}_2

$$\rightarrow \text{space} = 3 \log k$$

TEP UPPER BOUNDS

$$\vec{P}(\vec{y}, \vec{z}) : \mathbb{F}_2^{\log k} \times \mathbb{F}_2^{\log k} \rightarrow \mathbb{F}_2^{\log k}$$

Efficiency: $3 \log k$ registers over \mathbb{F}_2

k^2 recursive calls

$$\rightarrow \text{space} = 3 \log k + \log (k^2)^h = h \log k \quad \square$$

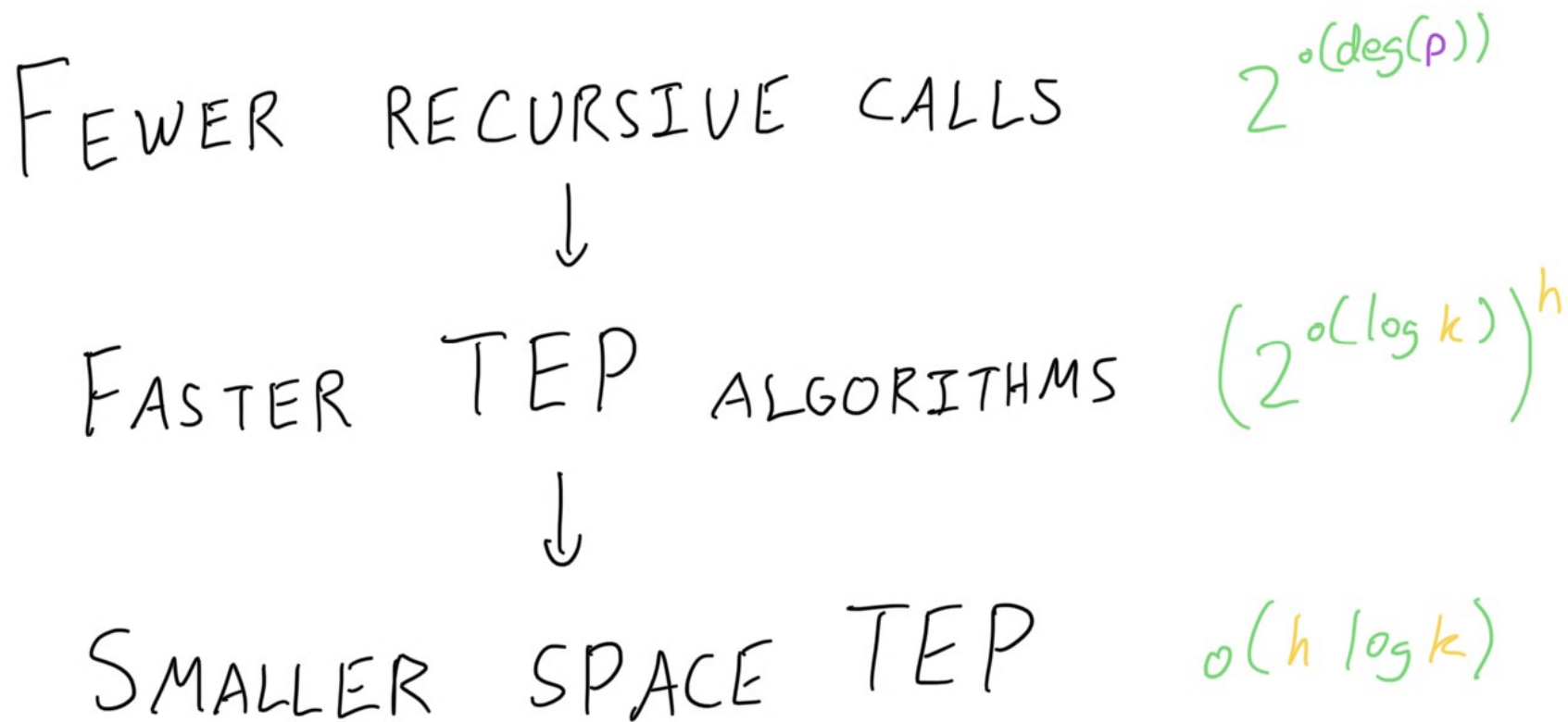
TEP UPPER BOUNDS

$$\vec{P}(\vec{y}, \vec{z}) : \mathbb{F}_2^{\log k} \times \mathbb{F}_2^{\log k} \rightarrow \mathbb{F}_2^{\log k}$$

Efficiency: $3 \log k$ registers over \mathbb{F}_2

$2^{\deg(p)}$ recursive calls

TEP UPPER BOUNDS



alternative
facts ahead

TEP lower bounds
are a hoax

WARNING

NOT PEER REVIEWED

^
OFFICIALLY

I do my own
research

Free Speech zone

TEP UPPER BOUNDS

THEOREM [CM'23]: $TEP_{k,h}$ can be
solved in space $O(h + \log k) \cdot \log \log k$
($= O(\log n \cdot \log \log n)$)

TEP UPPER BOUNDS

$\vec{p}_v(\vec{\ell}, \vec{r}) : O(\deg(p))$ recursive calls

$$\text{space} = \log \left[\underbrace{O(\log k)^h}_{\text{runtime}} \right]$$

TEP UPPER BOUNDS

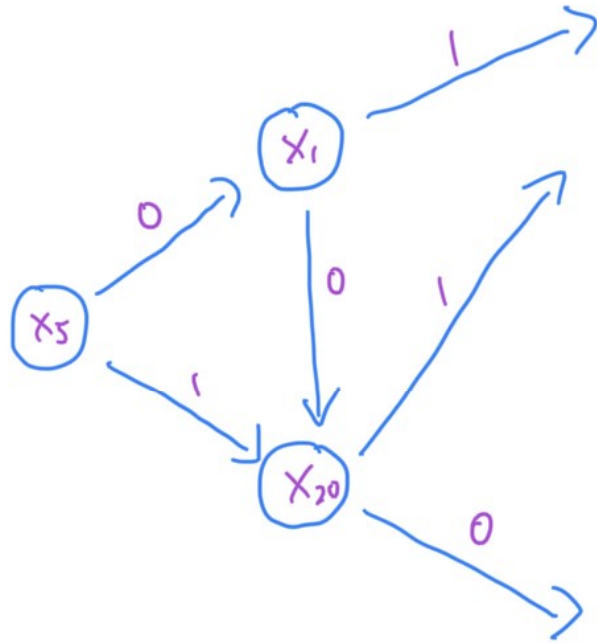
$\vec{p}_v(\vec{\ell}, \vec{r})$: $O(\deg(p))$ recursive calls

$3 \log k$ registers over $\mathbb{F}_{O(\deg(p))}$

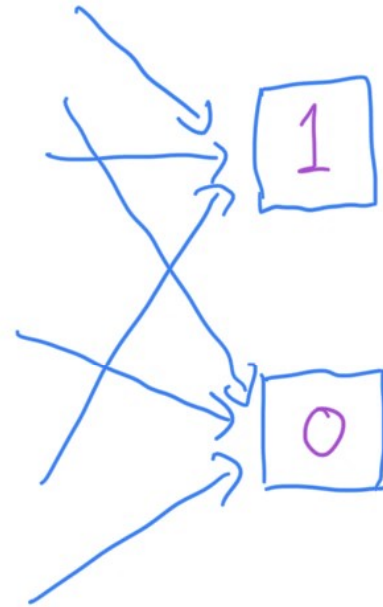
$$\text{space} = \underbrace{\log [O(\log k)^h]}_{\text{runtime}} + \underbrace{(3 \log k)}_{\# \text{ reg.}} \cdot \underbrace{\log [O(\log k)]}_{\text{size per reg.}}$$

$$= O(h + \log k) \cdot \log \log k$$

AMORTIZED BPs

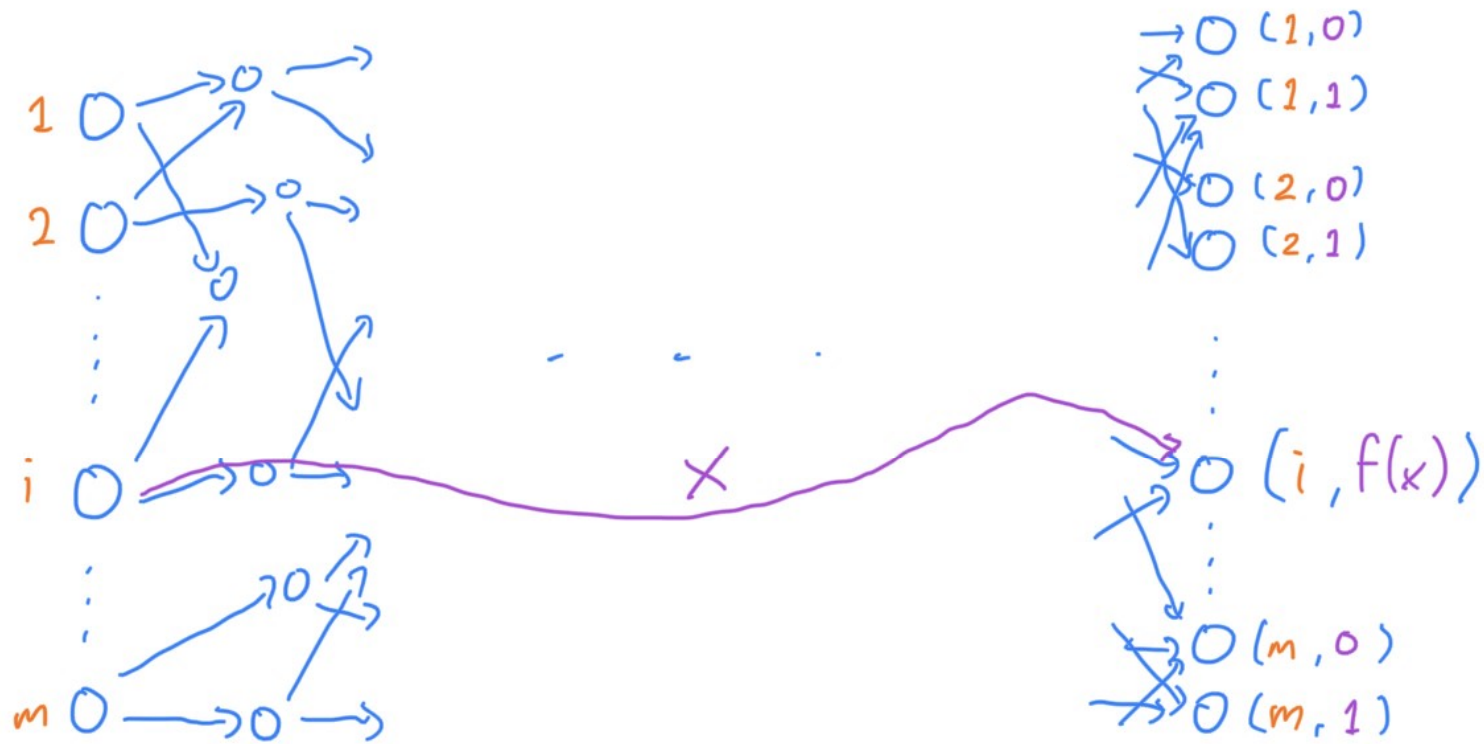


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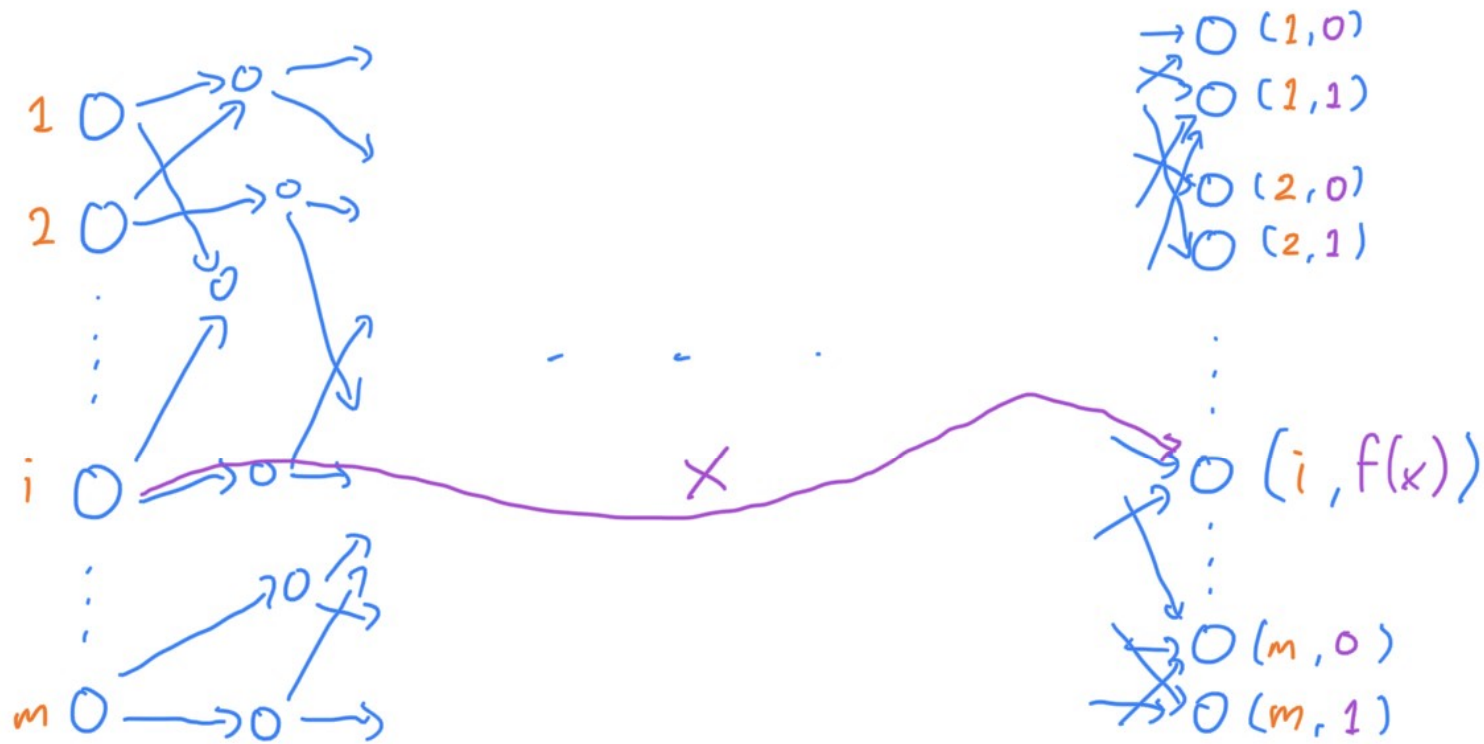
size s \equiv non-uniform space $\log s$

AMORTIZED BPs



size mS \equiv non-uniform space $\log m$
 catalytic $\log S$

AMORTIZED BPs



size m s \equiv amortized m size s

AMORTIZED BPs

THEOREM [P'17] : $s = O(n)$ $m = 2^{2^n}$

AMORTIZED BPs

THEOREM [P'17] : $S = O(n)$

$$m = 2^{2^n}$$

THEOREM [CM'22] : $S = O_\epsilon(n)$

$$m = 2^{2^{\epsilon n}}$$

AMORTIZED BPs

THEOREM [P'17] : $s = O(n)$

$$m = 2^{2^n}$$

THEOREM [CM'22] : $s = O_\epsilon(n)$

$$m = 2^{2^{\epsilon n}}$$

THEOREM [CM'23] : $s = n^{2+\epsilon}$

$$m = 2^{O_\epsilon(n)}$$

KRW AND SPACE

CONJECTURE [KRW'95]: $TEP_{d,h} \notin NC^1$

KRW AND SPACE

CONJECTURE [KRW'95]: $TEP_{d,h} \notin NC^1$

THEOREM [CM'23]: $KRW \rightarrow NC^1 \neq L$

KRW AND SPACE

1. KRW gives a very sharp separation between complexity classes

KRW AND SPACE

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2. KRW \rightarrow quasipoly (uniform) separation between formulas and branching programs

KRW AND SPACE

1. KRW gives a very sharp separation between complexity classes
2. KRW \rightarrow quasipoly (uniform) separation between formulas and branching programs
3. formally easier to show $STCONN \neq NC'$ than $TEP \neq NC'$

WHAT Now?

- TEP still not in L yet!

WHAT Now?

- TEP still not in L yet!
- what is TEP complete for?

WHAT Now?

- TEP still not in L yet!
- what is TEP complete for?
- other things to do with catalytic?

SHAMELESS PLUGS

RESULTS [CM'23] : ECC (soon!)
(longer talk to be posted on release)

SHAMELESS PLUGS

RESULTS [CM'23]: ECCC (soon!)
(longer talk to be posted on release)

SURVEY [M'23]: B. EATCS
└──→ ECCC
suggestions appreciated!

T H A N K S !